

# STUDY OF A $\mathbf{Z}$ -FORM OF THE COORDINATE RING OF A REDUCTIVE GROUP

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## INTRODUCTION

In his famous paper [C1] Chevalley associated to any root datum of adjoint type and to any field  $k$  a certain group (now known as a Chevalley group) which in the case where  $k = \mathbf{C}$  was the usual adjoint Lie group over  $\mathbf{C}$  and which in the case where  $k$  is finite led to some new families of finite simple groups. Let  $\mathbf{O}'$  be the coordinate ring of a connected semisimple group  $G$  over  $\mathbf{C}$  attached to a fixed (semisimple) root datum. In a sequel [C2] to [C1], Chevalley defined a  $\mathbf{Z}$ -form of  $\mathbf{O}'$ . Later, another construction of such a  $\mathbf{Z}$ -form was proposed by Kostant [Ko]. Kostant notes that  $\mathbf{O}'$  can be viewed as a "restricted" dual of the universal enveloping algebra  $\mathbf{U}$  of Lie  $G$ ; he defines a  $\mathbf{Z}$ -form  $\mathbf{U}_{\mathbf{Z}}$  of  $\mathbf{U}$  ("the Kostant  $\mathbf{Z}$ -form") and then defines the  $\mathbf{Z}$ -form  $\mathbf{O}'_{\mathbf{Z}}$  as the set of all elements in  $\mathbf{O}'$  which take integral values on  $\mathbf{U}_{\mathbf{Z}}$ . Then for any commutative ring  $A$  with 1 he defines  $\mathbf{O}'_A$  as  $A \otimes \mathbf{O}'_{\mathbf{Z}}$ ; this is naturally a Hopf algebra over  $A$ . It follows that the set  $G_A$  of  $A$ -algebra homomorphisms  $\mathbf{O}'_A \rightarrow A$  has a natural group structure. Thus the root datum gives rise to a family of groups  $G_A$ , one for each  $A$  as above.

Unlike Chevalley's approach which was based on a choice of a faithful representation of  $G$ , Kostant's approach is direct (no choices involved) and generalizes to the quantum case.

In this paper we develop the theory of Chevalley groups following Kostant's approach. We shall prove that:

(I) *if  $A$  is an algebraically closed field then  $\mathbf{O}'_A$  is the coordinate algebra of a connected semisimple algebraic group over  $A$  corresponding to the given root datum.*

(We treat the reductive case at the same time.) Note that (I) was stated without proof in [Ko].

In this paper we note that Kostant's definition can be reformulated by replacing  $\mathbf{U}$  by a "modified enveloping algebra". The theory is then developed using extensively the theory of canonical bases of such modified enveloping algebras (presented

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Supported in part by the National Science Foundation

in [L1]), coming from quantum groups. (See the Notes in [L1] for references to original sources concerning canonical bases.)

We now present the content of this paper in more detail.

Let  $A$  be a fixed commutative ring with 1 with a given invertible element  $v \in A$ .

In §1 we recall the definition and some properties of the modified (quantized) enveloping algebra  $\dot{\mathbf{U}}_A$  over  $A$  and its canonical basis  $\dot{\mathbf{B}}$ . We also define a "completion"  $\hat{\mathbf{U}}_A$  of  $\dot{\mathbf{U}}_A$  which consists of formal (possibly infinite)  $A$ -linear combinations of elements in  $\dot{\mathbf{B}}$ . We show that the multiplication and "comultiplication" of  $\dot{\mathbf{U}}_A$  extend naturally to  $\hat{\mathbf{U}}_A$ .

In §2 we introduce some invertible elements  $s'_{i,e}$  of  $\hat{\mathbf{U}}_A$  (where  $i$  corresponds to a simple reflection and  $e = \pm 1$ ). We show that conjugation by  $s'_{i,e}$  restricted to  $\dot{\mathbf{U}}_A$  is essentially the action of a generator in the braid group action on  $\dot{\mathbf{U}}_A$  studied in [L1]. Thus  $s'_{i,e}$  plays the same role as an element considered in a similar context (with  $A = \mathbf{C}(v)$ ) by Soibelman [So]. But while Soibelman's element is not explicit and its integrality properties are not clear, our element  $s'_{i,e}$  is remarkably simple and has obvious integrality properties.

In §3 we define following [L1, 29.5.2] the Hopf algebra  $\mathbf{O}_A$  (a quantum analogue of  $\mathbf{O}'_A$  above.) We prove that the  $A$ -algebra  $\mathbf{O}_A$  is finitely generated (see 3.3) with an explicit set of generators. In 3.7 we show that the  $A$ -algebra  $\mathbf{O}_A$  can be imbedded into the tensor product of two simpler algebras. (For a closely related result in the case where  $A = \mathbf{Q}(v)$ , see [Jo, 9.3.13].) In the case where  $v = 1$  in  $A$  these simpler algebras can be explicitly described in terms of the braid group action, see 3.13. We deduce that, if  $A$  is an integral domain and  $v = 1$  in  $A$ , then  $\mathbf{O}_A$  is an integral domain; see Theorem 3.15. (For a similar result in the case where  $A = \mathbf{Q}(v)$ , see [Jo, 9.1.9].)

In §4 we assume that  $v = 1$  in  $A$  and we introduce the group  $G_A$  in analogy with [Ko]. In Theorem 4.11 we show that  $\mathbf{O}_A$  has a property like (I) above.

In §5 we identify (assuming that  $v = 1$  in  $A$ ) our  $\mathbf{O}_A$  with Kostant's  $\mathbf{O}'_A$ .

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### 1. THE ALGEBRAS $\dot{\mathbf{U}}_A$ , $\hat{\mathbf{U}}_A$

**1.1.** In this section we recall the definition of the modified quantized enveloping algebra  $\dot{\mathbf{U}}_A$  (over  $A$ ) attached to a root datum and we recall the definition and some of the properties of the canonical basis  $\dot{\mathbf{B}}$  of  $\dot{\mathbf{U}}_A$ . We also study a certain completion  $\hat{\mathbf{U}}_A$  of  $\dot{\mathbf{U}}_A$ .

We fix a root datum as in [L1, 2.2]. This consists of two free abelian groups of finite type  $Y, X$  with a given perfect pairing  $\langle, \rangle : Y \times X \rightarrow \mathbf{Z}$  and a finite set  $I$  with given imbeddings  $I \rightarrow Y$  ( $i \mapsto i$ ) and  $I \rightarrow X$  ( $i \mapsto i'$ ) such that  $\langle i, i' \rangle = 2$  for all  $i \in I$  and  $\langle i, j' \rangle \in -\mathbf{N}$  for all  $i \neq j$  in  $I$ ; in addition, we are given a symmetric bilinear form  $\mathbf{Z}[I] \times \mathbf{Z}[I] \rightarrow \mathbf{Z}$ ,  $\nu, \nu' \mapsto \nu \cdot \nu'$  such that  $i \cdot i \in 2\mathbf{Z}_{>0}$  for all  $i \in I$  and  $\langle i, j' \rangle = 2i \cdot j / i \cdot i$  for all  $i \neq j$  in  $I$ . We assume that the matrix  $(i \cdot j)_{i,j \in I}$  is positive definite.

Let  $X^+ = \{\lambda \in X; \langle i, \lambda \rangle \geq 0 \text{ for all } i \in I\}$ . For  $\lambda, \lambda'$  in  $X$  we write  $\lambda \geq \lambda'$  or  $\lambda' \leq \lambda$  if  $\lambda - \lambda' \in \sum_{i \in I} \mathbf{N}i'$ . The image of  $\nu \in \mathbf{Z}[I]$  under the homomorphism  $\mathbf{Z}[I] \rightarrow X$  such that  $i \mapsto i'$  for  $i \in I$ , is denoted again by  $\nu$ .

Let  $W$  be the (finite) subgroup of  $\text{Aut}(Y)$  generated by the involutions  $s_i : y \mapsto y - \langle y, i' \rangle i$  ( $i \in I$ ) or equivalently the subgroup of  $\text{Aut}(X)$  generated by the involutions  $s_i : x \mapsto x - \langle i, x \rangle i'$  ( $i \in I$ ); these two subgroups may be identified by taking contragredients. For  $i \neq j$  in  $I$  let  $n_{ij} = n_{ji}$  be the order of  $s_i s_j$  in  $W$ . Let  $l : W \rightarrow \mathbf{N}$  be the standard length function on  $W$  with respect to  $\{s_i; i \in I\}$ . Let  $w_0 \in W$  be the unique element such that  $l(w_0)$  is maximal.

**1.2.** Let  $v$  be an indeterminate. Let  $\mathcal{A} = \mathbf{Z}[v, v^{-1}]$ . For  $i \in I$  we set  $v_i = v^{i \cdot i/2}$ .

We fix a commutative ring  $A$  with 1 with a given ring homomorphism  $\mathcal{A} \rightarrow A$  respecting 1. For  $\alpha \in \mathcal{A}$  we shall often denote the image of  $\alpha$  under  $\mathcal{A} \rightarrow A$  again by  $\alpha$ . Whenever we write  $A = \mathbf{Q}(v)$  or  $A = \mathcal{A}$  we shall understand that  $A$  is regarded as an  $\mathcal{A}$ -algebra in an obvious way. Whenever we write  $A = \mathbf{Q}$  or  $A = \mathbf{Z}$  we shall understand that  $A$  is regarded as an  $\mathcal{A}$ -algebra with  $v = 1$  in  $A$ .

Let  $A^\circ$  be the group of invertible elements of the ring  $A$ .

An  $A$ -linear map  $\phi : H \rightarrow H'$  where  $H, H'$  are  $A$ -modules is said to be a *split injection* if there exists an  $A$ -linear map  $\psi : H' \rightarrow H$  such that  $\psi\phi = 1$ .

**1.3.** Let  $\mathbf{f}$  be the associative  $\mathbf{Q}(v)$ -algebra with 1 defined as in [L1, 1.2.5] or equivalently by the generators  $\theta_i (i \in I)$  and the quantum Serre relations

$$\sum_{p, p' \in \mathbf{N}; p+p'=1-\langle i, j' \rangle} (-1)^{p'} (\theta_i^p / [p]_i!) \theta_j (\theta_i^{p'} / [p']_i!)$$

for  $i \neq j$  in  $I$ , where  $[p]_i! = \prod_{s=1}^p (v_i^s - v_i^{-s}) / (v_i - v_i^{-1})$  for  $p \in \mathbf{N}$ . We have a direct sum decomposition  $\mathbf{f} = \bigoplus_{\nu \in \mathbf{Z}[I]} \mathbf{f}_\nu$  as a vector space, where  $\mathbf{f}_\nu$  is spanned by words in  $\theta_i$  in which the number of apparitions of  $\theta_i$  is the coefficient of  $i$  in  $\nu$ , for all  $i \in I$ . For  $i \in I$ ,  $n \in \mathbf{Z}$  we set  $\theta_i^{(n)} = \theta_i^n / [n]_i! \in \mathbf{f}$  if  $n \geq 0$  and  $\theta_i^{(n)} = 0$  if  $n < 0$ . Let  $\mathbf{f}_\mathcal{A}$  be the  $\mathcal{A}$ -subalgebra with 1 of  $\mathbf{f}$  generated by the elements  $\theta_i^{(n)}$  with  $i \in I, n \in \mathbf{Z}$ . We have  $\mathbf{f}_\mathcal{A} = \bigoplus_{\nu \in \mathbf{Z}[I]} \mathbf{f}_{\nu, \mathcal{A}}$  where  $\mathbf{f}_{\nu, \mathcal{A}} = \mathbf{f}_\nu \cap \mathbf{f}_\mathcal{A}$ . Let  $\mathbf{f}_A = A \otimes_\mathcal{A} \mathbf{f}_\mathcal{A}$ , an  $A$ -algebra. We have  $\mathbf{f}_A = \bigoplus_{\nu \in \mathbf{Z}[I]} \mathbf{f}_{\nu, A}$  where  $\mathbf{f}_{\nu, A} = A \otimes_\mathcal{A} \mathbf{f}_{\nu, \mathcal{A}}$ .

Let  $\mathbf{B}$  be the canonical basis of  $\mathbf{f}$  (see [L1, 14.4]). Note that  $\mathbf{B}$  is also an  $\mathcal{A}$ -basis of  $\mathbf{f}_\mathcal{A}$ . If  $b \in \mathbf{B}$  we shall denote the element  $1 \otimes_\mathcal{A} b \in A \otimes_\mathcal{A} \mathbf{f}_\mathcal{A} = \mathbf{f}_A$  again by  $b$ . Thus  $\mathbf{B}$  can be viewed also as an  $A$ -basis of  $\mathbf{f}_A$ .

**1.4.** For any  $n \in \mathbf{Z}, t \in \mathbf{N}$  and  $i \in I$  we define  $\begin{bmatrix} n \\ t \end{bmatrix}_i \in \mathcal{A}$  as in [L1, 1.3.1, 1.1.2].

Let  $\dot{\mathbf{U}}_A$  be the  $A$ -algebra generated by the symbols  $x^+ 1_\zeta x'^-, x^- 1_\zeta x'^+$  with  $x \in \mathbf{f}_{\nu,A}, x' \in \mathbf{f}_{\nu',A}$  for various  $\nu, \nu'$  and  $\zeta \in X$ ; these symbols are subject to the following relations:

$$\begin{aligned} \mathbf{f}_A &\rightarrow \dot{\mathbf{U}}_A, x \mapsto x^\pm 1_\zeta \text{ is } A\text{-linear for any } \zeta \in X; \\ \theta_i^{(n)+} 1_\zeta \theta_j^{(m)-} &= \theta_j^{(m)-} 1_\zeta \theta_i^{(n)+} \text{ if } m, n \in \mathbf{N}, \zeta \in X, i \neq j; \\ \theta_i^{(n)\pm} 1_{\mp \zeta} \theta_i^{(m)\mp} &= \sum_{t \in \mathbf{N}} \begin{bmatrix} m+n-(i,\zeta) \\ t \end{bmatrix}_i \theta_i^{(m-t)\mp} 1_{\mp \zeta \pm (a+b-t)i'} \theta_i^{(n-t)\pm} \text{ if } m, n \in \mathbf{N}, \\ \zeta \in X, i \in I; \\ x^\pm 1_\zeta &= 1_{\zeta \pm \nu} x^\pm \text{ if } x \in \mathbf{f}_{\nu,A}, \zeta \in X; \\ (x^\pm 1_\zeta)(1_{\zeta'} x'^\mp) &= \delta_{\zeta, \zeta'} x^\pm 1_\zeta x'^\mp \text{ if } x, x' \in \mathbf{f}_A, \zeta \in X; \\ (x^\pm 1_\zeta)(1_{\zeta'} x'^\pm) &= \delta_{\zeta, \zeta'} 1_{\zeta \pm \nu} (xx')^\pm, \text{ if } x \in \mathbf{f}_{\nu,A}, x' \in \mathbf{f}_A, \zeta \in X. \end{aligned}$$

If  $x$  or  $x'$  in  $x^\pm 1_\zeta x'^\mp$  or  $x^\pm 1_\zeta x'^\pm$  is 1 we omit writing it.

(See [L1, 31.1.1, 31.1.3].) Note that the two  $A$ -linear maps  $\mathbf{f}_A \otimes_A A[X] \otimes_A \mathbf{f}_A \rightarrow \dot{\mathbf{U}}_A, x \otimes \lambda \otimes x' \mapsto x^+ 1_\lambda x'^-$  and  $x \otimes \lambda \otimes x' \mapsto x^- 1_\lambda x'^+$  are isomorphisms of  $A$ -modules. (Here  $A[X]$  is the free  $A$ -module with basis indexed by  $X$ .) Hence we have canonically  $\dot{\mathbf{U}}_A = A \otimes_A \dot{\mathbf{U}}_A$  as  $A$ -algebras.

Now  $\dot{\mathbf{U}}_A$  does not have 1 in general; instead it has a family of elements  $1_\lambda (\lambda \in X)$  such that  $1_\lambda 1_{\lambda'} = \delta_{\lambda, \lambda'}$  for any  $\lambda, \lambda'$  in  $X$  and such that  $\dot{\mathbf{U}}_A = \sum_{\lambda, \lambda' \in X} 1_\lambda \dot{\mathbf{U}}_A 1_{\lambda'}$  (necessarily a direct sum).

In the case where  $A = \mathbf{Q}(v)$  we write  $\dot{\mathbf{U}}$  instead of  $\dot{\mathbf{U}}_A$ . Now the obvious map  $\dot{\mathbf{U}}_A \rightarrow \dot{\mathbf{U}}$  induced by the inclusion  $\mathcal{A} \subset \mathbf{Q}(v)$  is injective and identifies  $\dot{\mathbf{U}}_A$  with a  $\mathcal{A}$ -subalgebra of  $\dot{\mathbf{U}}$ . For any  $\lambda, \lambda', \lambda_1, \lambda_2, \lambda'_1, \lambda'_2$  in  $X$  we define an  $A$ -linear map

$$\Delta_{\lambda, \lambda', \lambda_1, \lambda'_1, \lambda_2, \lambda'_2} : 1_\lambda \dot{\mathbf{U}}_A 1_{\lambda'} \rightarrow (1_{\lambda_1} \dot{\mathbf{U}}_A 1_{\lambda'_1}) \otimes_A (1_{\lambda_2} \dot{\mathbf{U}}_A 1_{\lambda'_2})$$

as follows: if  $\lambda = \lambda_1 + \lambda_2, \lambda' = \lambda'_1 + \lambda'_2$  it is the map obtained by applying  $A \otimes_A ?$  to the  $\mathcal{A}$ -linear map at the end of [L1, 23.2.3]; otherwise, it is 0. We define an algebra isomorphism  $S$  from  $\dot{\mathbf{U}}_A$  to  $\dot{\mathbf{U}}_A$  with opposed multiplication as follows: if  $A = \mathbf{Q}(v)$ ,  $S$  is as in [L1, 23.1.6]; next,  $S : \dot{\mathbf{U}}_A \rightarrow \dot{\mathbf{U}}_A$  is the restriction of  $S : \dot{\mathbf{U}} \rightarrow \dot{\mathbf{U}}$  to  $\dot{\mathbf{U}}_A$ ; for general  $A$ ,  $S$  is obtained by applying  $A \otimes_A ?$  to the  $\mathcal{A}$ -linear map  $S : \dot{\mathbf{U}}_A \rightarrow \dot{\mathbf{U}}_A$ . Let  $\omega : \dot{\mathbf{U}}_A \rightarrow \dot{\mathbf{U}}_A$  be the algebra involution defined in [L1, 31.1.4].

**1.5.** Let  $\dot{\mathbf{B}}$  be the canonical basis of  $\dot{\mathbf{U}}_A$  (see [L1, 25.2]). If  $b \in \dot{\mathbf{B}}$  we shall denote the element  $1 \otimes_A b \in A \otimes_A \dot{\mathbf{U}}_A = \dot{\mathbf{U}}_A$  again by  $b$ . Thus  $\dot{\mathbf{B}}$  can be viewed also as an  $A$ -basis of  $\dot{\mathbf{U}}_A$ .

Note that  $1_\lambda \in \dot{\mathbf{B}}$  for any  $\lambda \in X$ . We have  $\dot{\mathbf{B}} = \sqcup_{\lambda, \lambda' \in X} (\dot{\mathbf{B}} \cap (1_\lambda \dot{\mathbf{U}}_A 1_{\lambda'}))$ . For  $a, b$  in  $\dot{\mathbf{B}}$  we write  $ab = \sum_{c \in \dot{\mathbf{B}}} m_{a,b}^c c$  ( $ab$  is the product in  $\dot{\mathbf{U}}_A$  and  $m_{a,b}^c \in A$  is 0 for all but finitely many  $c$ ). For  $a, b, c$  in  $\dot{\mathbf{B}}$  we define  $\hat{m}_c^{a,b} \in A$  by the following requirement: for any  $\lambda, \lambda', \lambda_1, \lambda'_1, \lambda_2, \lambda'_2$  in  $X$  and any  $c \in \dot{\mathbf{B}} \cap (1_\lambda \dot{\mathbf{U}}_A 1_{\lambda'})$  we have  $\Delta_{\lambda, \lambda', \lambda_1, \lambda'_1, \lambda_2, \lambda'_2} c = \sum_{a,b} \hat{m}_c^{a,b} a \otimes b$  where  $a$  runs over  $\dot{\mathbf{B}} \cap (1_{\lambda_1} \dot{\mathbf{U}}_A 1_{\lambda'_1})$ ,  $b$  runs over  $\dot{\mathbf{B}} \cap (1_{\lambda_2} \dot{\mathbf{U}}_A 1_{\lambda'_2})$  (in the last sum  $\hat{m}_c^{a,b}$  is 0 for all but finitely many  $(a, b)$ ).

For any  $a \in \dot{\mathbf{B}}$  we have  $S(a) = s_a \underline{a}$  where  $a \mapsto \underline{a}$  is an involution of  $\dot{\mathbf{B}}$  and  $s_a$  is  $\pm$  a power of  $v$  with  $s_{\underline{a}} = s_a$  (see [L1, 23.1.7, 26.3.2]). We have  $s_{1_\lambda} = 1$ ,  $\underline{1_\lambda} = 1_{-\lambda}$  for  $\lambda \in X$ . For any  $a \in \dot{\mathbf{B}}$  we have  $\omega(a) = e_a a^!$  where  $a \mapsto a^!$  is an involution of  $\dot{\mathbf{B}}$  and  $e_a = \pm 1$  (see [L1, 26.3.2]). We have  $e_{1_\lambda} = 1$ ,  $(1_\lambda)^! = 1_{-\lambda}$  for  $\lambda \in X$ .

Note that the quantities  $m_{a,b}^c, \hat{m}_c^{a,b}, s_a, e_a$  (in  $A$ ) are the images of the corresponding quantities in  $\mathcal{A}$  under the given homomorphism  $\mathcal{A} \rightarrow A$  and  $a \mapsto \underline{a}$ ,  $a \mapsto a^!$  are independent of  $A$ .

As in [L1, 25.4] for any  $a, b, d, e$  in  $\dot{\mathbf{B}}$  we have

- (i)  $\sum_c m_{a,b}^c m_{c,d}^e = \sum_c m_{a,c}^e m_{b,d}^c$ ;
- (ii)  $\sum_c \hat{m}_c^{a,b} \hat{m}_e^{c,d} = \sum_c \hat{m}_e^{a,c} \hat{m}_c^{b,d}$ ;
- (iii)  $\sum_c m_{a,b}^c \hat{m}_e^{c,d} = \sum_{a',b',c',d'} \hat{m}_a^{a',b'} \hat{m}_b^{c',d'} m_{a',c'}^e m_{b',d'}^d$ ;
- (iv)  $\hat{m}_{1_\lambda}^{a,b} = 1$  if  $a = 1_{\lambda'}, b = 1_{\lambda''}$ ,  $\lambda' + \lambda'' = \lambda$  and  $\hat{m}_{1_\lambda}^{a,b} = 0$ , otherwise.

From the definitions we have for any  $a, b, c$  in  $\dot{\mathbf{B}}$ :

- (v)  $s_c m_{a,b}^c = s_a s_b m_{\underline{b}, \underline{a}}^c$ ;
- (vi)  $s_c \hat{m}_c^{b, \underline{a}} = s_a s_b \hat{m}_c^{a, b}$ ;
- (vii)  $e_c m_{a,b}^c = e_a e_b m_{a^!, b^!}^{c^!}$ ;
- (viii)  $e_c \hat{m}_{c^!}^{b^!, a^!} = e_a e_b \hat{m}_c^{a, b}$ ;
- (ix)  $\sum_{\lambda \in X} m_{a, 1_\lambda}^c = \sum_{\lambda \in X} m_{1_\lambda, a}^c = \delta_{a, c}$ ;
- (x)  $\hat{m}_c^{a, 1_0} = \hat{m}_c^{1_0, a} = \delta_{a, c}$ ;
- (xi)  $\sum_{d, e \in \dot{\mathbf{B}}} \hat{m}_a^{d, e} m_{d, \bar{e}}^b s_e = \sum_{d, e \in \dot{\mathbf{B}}} \hat{m}_a^{d, e} m_{d, e}^b s_d$  is 1 if  $b = 1_\lambda, a = 1_0$  and is 0 otherwise;
- (xii)  $m_{a, b}^{1_0} = \delta_{a, 1_0} \delta_{b, 1_0}$ ;
- (xiii)  $\hat{m}_c^{a, b} = \hat{m}_c^{b, a}$  in  $A$  if  $v = 1$  in  $A$ .

**1.6.** Let  $\mathfrak{C}_A$  be the category whose objects are  $\dot{\mathbf{U}}_A$ -modules  $M$  which are unital in the following sense: for any  $z \in M$  we have  $1_\lambda z = 0$  for all but finitely many  $\lambda \in X$  and  $\sum_{\lambda \in X} 1_\lambda z = z$  and which are finitely generated as an  $A$ -module. (A unital  $\dot{\mathbf{U}}_A$ -module  $M$  is also an  $A$ -module by  $a : z \mapsto \sum_{\lambda \in X} (a 1_\lambda) z$  (all but finitely many terms of the last sum are 0)). A morphism in  $\mathfrak{C}_A$  is a  $\dot{\mathbf{U}}_A$ -linear map. When  $A = \mathbf{Q}(v)$  we write  $\mathfrak{C}$  instead of  $\mathfrak{C}_A$ .

Let  $M, M' \in \mathfrak{C}_A$ . The tensor product  $M \otimes_A M'$  will be regarded as a  $\dot{\mathbf{U}}_A$ -module by the rule  $c(z \otimes z') = \sum_{a, b \in \dot{\mathbf{B}}} \hat{m}_c^{a, b} a z \otimes b z'$  where  $c \in \dot{\mathbf{B}}$ ,  $z \in M$ ,  $z' \in M'$ . (All but finitely many terms of the last sum are 0.) The fact that the rule above defines an  $\dot{\mathbf{U}}_A$ -module structure follows from 1.5(iii). We have  $M \otimes_A M' \in \mathfrak{C}_A$ . This makes  $\mathfrak{C}_A$  into a monoidal tensor category.

For any  $M, M'$  in  $\mathfrak{C}_A$  let  ${}_f \mathcal{R}_{M, M'} : M' \otimes M \rightarrow M \otimes M'$  be the isomorphism in  $\mathfrak{C}_A$  defined in [L1, 32.1.5] in terms of a fixed function  $f : X \times X \rightarrow \mathbf{Z}$  as in [L1, 32.1.3]. (In the formula for  ${}_f \mathcal{R}_{M, M'}$  in [L1, 32.1.4] we interpret  $b^- m, b'^+ m'$  as  $\sum_\lambda b^- 1_\lambda m, \sum_\lambda b'^+ 1_\lambda m'$ .)

To any object  $M \in \mathfrak{C}_A$  we associate a new object  ${}^\omega M \in \mathfrak{C}_A$  with the same underlying  $A$ -module as  $M$  and such that for any  $u \in \dot{\mathbf{U}}_A$ , the operator  $u$  on  ${}^\omega M$

coincides with the operator  $\omega(u)$  on  $M$ .

For any  $\lambda \in X^+$  let  $\Lambda_\lambda = \mathbf{f}/\mathcal{T}_\lambda$  where  $\mathcal{T}_\lambda = \sum_i \mathbf{f}\theta_i^{\langle i, \lambda \rangle + 1}$ . Let  $\eta_\lambda = 1 + \mathcal{T}_\lambda$ . We regard  $\Lambda_\lambda$  as an object of  $\mathfrak{C}$  in which  $(\theta_i^+ 1_{\lambda'})\eta_\lambda = 0$  for  $i \in I, \lambda' \in X$ ,  $(x^- 1_{\lambda'})\eta_\lambda = \delta_{\lambda, \lambda'} x + \mathcal{T}_\lambda$  for  $x \in \mathbf{f}, \lambda' \in X$ . We have  $\Lambda_\lambda \in \mathfrak{C}$  ([L1, 6.3.4]).

Let  $\Lambda_{\lambda, \mathcal{A}} = \dot{\mathbf{U}}_{\mathcal{A}} \eta_\lambda$ . This is an  $\mathcal{A}$ -lattice in  $\Lambda_\lambda$  and  $\Lambda_{\lambda, \mathcal{A}} \in \mathfrak{C}_{\mathcal{A}}$ . Note that  $\eta_\lambda \in \Lambda_{\lambda, \mathcal{A}}$ .

Let  $\Lambda_{\lambda, A} = A \otimes_{\mathcal{A}} \Lambda_{\lambda, \mathcal{A}}$ . By extension of scalars  $\Lambda_{\lambda, A}$  becomes a  $\dot{\mathbf{U}}_A$ -module. We have  $\Lambda_{\lambda, A} \in \mathfrak{C}_A$ . We write  $\eta_\lambda$  instead of  $1 \otimes_{\mathcal{A}} \eta_\lambda \in A \otimes_{\mathcal{A}} \Lambda_{\lambda, \mathcal{A}} = L_{\lambda, A}$ . Now  $\eta_\lambda \in \Lambda_{\lambda, A}$  regarded as an element of  ${}^\omega \Lambda_{\lambda, A}$  will be denoted by  $\xi_{-\lambda}$ .

For  $\lambda \in X^+$  there is a unique subset  $\mathbf{B}_\lambda$  of  $\mathbf{B}$  such that  $b \mapsto (b^- 1_\lambda)\eta_\lambda$  is a bijection of  $\mathbf{B}_\lambda$  onto a basis  $\underline{\mathbf{B}}_\lambda$  of  $\Lambda_{\lambda, A}$ ; for  $b \in \mathbf{B} - \mathbf{B}_\lambda$  we have  $(b^- 1_\lambda)\eta_\lambda = 0$ . There is a unique subset  $\mathbf{B}'_\lambda$  of  $\mathbf{B}$  such that  $b \mapsto (b^+ 1_{-\lambda})\xi_{-\lambda}$  is a bijection of  $\mathbf{B}'_\lambda$  onto  $\underline{\mathbf{B}}_\lambda$ ; for  $b \in \mathbf{B} - \mathbf{B}'_\lambda$  we have  $(b^+ 1_{-\lambda})\xi_{-\lambda} = 0$ .

Note that  $\mathbf{B}_\lambda, \mathbf{B}'_\lambda$  are independent of  $A$ .

**1.7.** For  $\lambda \in X^+$  let  $\dot{\mathbf{U}}^{\geq \lambda}$  be the set of all  $u \in \dot{\mathbf{U}}$  such that for any  $\lambda' \in X^+$  such that  $\lambda' \not\geq \lambda$  we have  $u|_{\Lambda_{\lambda'}} = 0$  (a two-sided ideal of  $\dot{\mathbf{U}}$ ). By [L1, 29.1],  $\dot{\mathbf{U}}^{\geq \lambda} \cap \dot{\mathbf{B}}$  is a basis of  $\dot{\mathbf{U}}^{\geq \lambda}$  and there is a unique partition  $\dot{\mathbf{B}} = \sqcup_{\lambda \in X^+} \dot{\mathbf{B}}[\lambda]$  such that for any  $\lambda \in X^+$  we have  $\dot{\mathbf{U}}^{\geq \lambda} \cap \dot{\mathbf{B}} = \sqcup_{\lambda' \in X^+; \lambda' \geq \lambda} \dot{\mathbf{B}}[\lambda']$ . By [L1, 29.1.6],  $\dot{\mathbf{B}}[\lambda]$  is finite for any  $\lambda \in X^+$ .

**Lemma 1.8.** *For any  $c \in \dot{\mathbf{B}}$ , the set  $\{(a, b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}; m_{a, b}^c \in A - \{0\}\}$  is finite.*

We may assume that  $A = \mathcal{A}$ . Let  $c \in \dot{\mathbf{B}}$ . We have  $c \in \dot{\mathbf{B}}[\lambda]$  for a unique  $\lambda \in X^+$ . Let  $(a, b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}$  be such that  $m_{a, b}^c \neq 0$ . We have  $a \in \dot{\mathbf{B}}[\lambda'], b \in \dot{\mathbf{B}}[\lambda'']$  for some  $\lambda', \lambda'' \in X^+$ . We have  $a \in \dot{\mathbf{U}}^{\geq \lambda'}$ . Since  $\dot{\mathbf{U}}^{\geq \lambda'} \dot{\mathbf{U}} \subset \dot{\mathbf{U}}^{\geq \lambda'}$ , we have  $ab \in \dot{\mathbf{U}}^{\geq \lambda'}$ . Since  $c$  appears with non-zero coefficient in  $ab$  and  $\dot{\mathbf{U}}^{\geq \lambda'} \cap \dot{\mathbf{B}}$  is a basis of  $\dot{\mathbf{U}}^{\geq \lambda'}$ , we have  $c \in \dot{\mathbf{U}}^{\geq \lambda'} \cap \dot{\mathbf{B}}$  that is  $c \in \cup_{\lambda_1 \in X^+; \lambda_1 \geq \lambda'} \dot{\mathbf{B}}[\lambda_1]$ . Thus  $\lambda \geq \lambda'$ . Similarly,  $\lambda \geq \lambda''$ . Thus  $\{(a, b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}; m_{a, b}^c \neq 0\}$  is contained in  $\sqcup_{(\lambda', \lambda'') \in X^+ \times X^+; \lambda \geq \lambda', \lambda \geq \lambda''} \dot{\mathbf{B}}[\lambda'] \times \dot{\mathbf{B}}[\lambda'']$ ; this is a finite set since  $\{(\lambda', \lambda'') \in X^+ \times X^+; \lambda \geq \lambda', \lambda \geq \lambda''\}$  is finite and each  $\dot{\mathbf{B}}[\lambda'] \times \dot{\mathbf{B}}[\lambda'']$  is finite. The lemma is proved.

**1.9.** For any  $\lambda, \lambda'$  in  $X^+$  there is a unique (finite) subset  $\dot{\mathbf{B}}_{\lambda, \lambda'}$  of  $\dot{\mathbf{B}}$  such that  $a \mapsto a(\xi_{-\lambda} \otimes \eta_{\lambda'})$  defines a bijection of  $\dot{\mathbf{B}}_{\lambda, \lambda'}$  onto an  $A$ -basis  $\underline{\mathbf{B}}_{\lambda, \lambda'}$  of  ${}^\omega \Lambda_{\lambda, A} \otimes_A \Lambda_{\lambda', A}$ ; moreover  $a(\xi_{-\lambda} \otimes \eta_{\lambda'}) = 0$  for any  $a \in \dot{\mathbf{B}} - \dot{\mathbf{B}}_{\lambda, \lambda'}$ . This property appears in [L1, 25.2.1] in the case where  $A = \mathbf{Q}(v)$  but it is then automatically true for general  $A$ . Note that the subset  $\dot{\mathbf{B}}_{\lambda, \lambda'}$  of  $\dot{\mathbf{B}}$  is independent of  $A$ . We have  $\dot{\mathbf{B}} = \cup_{\lambda, \lambda' \in X^+} \dot{\mathbf{B}}_{\lambda, \lambda'}$ .

**1.10.** We show:

(a) *If  $M \in \mathfrak{C}_A$  then  $\mathfrak{A} := \{a \in \dot{\mathbf{B}}; aM \neq 0\}$  is finite.*

Let  $z_1, z_2, \dots, z_r$  be a set of generators of the  $A$ -module  $M$  such that each  $z_j$  is contained in  $1_{\zeta_j} M$  for some  $\zeta_j \in X$ . As in the proof of [L1, 31.2.7], for  $j \in [1, r]$  we can find  $\lambda_j, \lambda'_j \in X^+$  such that  $\lambda'_j - \lambda_j = \zeta_j$  and a morphism  $\phi_j : {}^\omega \Lambda_{\lambda_j, A} \otimes_A \Lambda_{\lambda'_j, A} \rightarrow M$  in  $\mathfrak{C}_A$  such that  $\phi_j(\xi_{-\lambda_j} \otimes \eta_{\lambda'_j}) = z_j$ . If  $a \in \mathfrak{A}$  then for

some  $j$  we have  $az_j \neq 0$  hence  $a(\xi_{-\lambda_j} \otimes \eta_{\lambda'_j}) \neq 0$  in  ${}^\omega \Lambda_{\lambda_j, A} \otimes_A \Lambda_{\lambda'_j, A}$ . Thus we have  $a \in \dot{\mathbf{B}}_{\lambda_j, \lambda'_j}$ . We see that  $\mathfrak{A} \subset \cup_{j \in [1, r]} \dot{\mathbf{B}}_{\lambda_j, \lambda'_j}$ . Thus  $\mathfrak{A}$  is finite.

**1.11.** Let  $\hat{\mathbf{U}}_A$  be the  $A$ -module consisting of all formal linear combinations

$$\sum_{a \in \dot{\mathbf{B}}} n_a a$$

with  $n_a \in A$ . We define an  $A$ -algebra structure on  $\hat{\mathbf{U}}_A$  by

$$\left( \sum_{a \in \dot{\mathbf{B}}} n_a a \right) \left( \sum_{b \in \dot{\mathbf{B}}} \tilde{n}_b b \right) = \sum_{c \in \dot{\mathbf{B}}} r_c c$$

where  $r_c = \sum_{(a,b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} m_{a,b}^c n_a \tilde{n}_b$ . The last sum is well defined since by 1.8 it has only finitely many non-zero terms. This algebra structure is associative by 1.5(i) and has a unit element  $1 = \sum_{\lambda \in X} 1_\lambda$ . We have an imbedding of  $A$ -algebras  $\dot{\mathbf{U}}_A \subset \hat{\mathbf{U}}_A$  under which  $b \in \dot{\mathbf{B}} \subset \dot{\mathbf{U}}_A$  corresponds to  $\sum_{a \in \dot{\mathbf{B}}} \delta_{a,b} a \in \hat{\mathbf{U}}_A$ .

Let  $\hat{\mathbf{U}}_A^{(2)}$  be the  $A$ -module consisting of all formal linear combinations

$$\sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a \otimes a'$$

with  $n_{a,a'} \in A$ . We define an  $A$ -algebra structure on  $\hat{\mathbf{U}}_A^{(2)}$  by

$$\left( \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a \otimes a' \right) \left( \sum_{(b,b') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} \tilde{n}_{b,b'} b \otimes b' \right) = \sum_{(c,c') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} r_{c,c'} c \otimes c'$$

where  $r_{c,c'} = \sum_{a,a',b,b'} m_{a,b}^c m_{a',b'}^{c'} n_{a,a'} \tilde{n}_{b,b'}$ . (Again this sum is well defined by 1.8.) This algebra is associative by 1.5(i) with unit element  $1 = \sum_{\lambda, \lambda' \in X} 1_\lambda \otimes 1_{\lambda'}$ . Note that  $\hat{\mathbf{U}}_A^{(2)}$  is associated to the direct sum of two copies of our root datum in the same way as  $\hat{\mathbf{U}}_A$  is associated to our root datum. For  $\xi = \sum_{a \in \dot{\mathbf{B}}} n_a a \in \hat{\mathbf{U}}_A$ ,  $\xi' = \sum_{a' \in \dot{\mathbf{B}}} \tilde{n}_{a'} a' \in \hat{\mathbf{U}}_A$ , we set  $\xi \otimes \xi' = \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_a \tilde{n}_{a'} a \otimes a' \in \hat{\mathbf{U}}_A^{(2)}$ . Note that  $\xi, \xi' \mapsto \xi \otimes \xi'$  defines an  $A$ -algebra homomorphism  $\hat{\mathbf{U}}_A \otimes_A \hat{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A^{(2)}$ . We define an  $A$ -linear map  $\Delta : \dot{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A^{(2)}$  by

$$c \mapsto \sum_{(a,b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} \hat{m}_c^{a,b} a \otimes b$$

for any  $c \in \dot{\mathbf{B}}$ . By 1.5(iii),  $\Delta$  is an  $A$ -algebra homomorphism.

We define an  $A$ -linear map  $\mathbf{m} : \hat{\mathbf{U}}_A^{(2)} \rightarrow \hat{\mathbf{U}}_A$  by

$$\sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a \otimes a' \mapsto \sum_{b \in \dot{\mathbf{B}}} \left( \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} m_{a,a'}^b \right) b.$$

This is well defined, by 1.8. In particular, if  $\xi, \xi' \in \hat{\mathbf{U}}_A$  we have  $\mathbf{m}(\xi \otimes \xi') = \xi \xi'$  (product in  $\hat{\mathbf{U}}_A$ ) where  $\xi \otimes \xi'$  is regarded as an element of  $\hat{\mathbf{U}}^{(2)}$  as above.

We define an  $A$ -linear map  $S : \hat{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A$  by  $\sum_{a \in \dot{\mathbf{B}}} n_a a \mapsto \sum_{a \in \dot{\mathbf{B}}} s_a n_a \underline{a}$  and  $A$ -linear maps  $S^{(2)} : \hat{\mathbf{U}}_A^{(2)} \rightarrow \hat{\mathbf{U}}_A^{(2)}$ ,  $S^{(0,1)} : \hat{\mathbf{U}}_A^{(2)} \rightarrow \hat{\mathbf{U}}_A^{(2)}$  by

$$S^{(2)} : \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a \otimes a' \mapsto \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} s_a s_{a'} n_{a,a'} \underline{a} \otimes \underline{a'},$$

$$S^{(0,1)} : \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a \otimes a' \mapsto \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} s_{a'} n_{a,a'} a \otimes \underline{a'}.$$

In particular for  $\xi, \xi'$  in  $\hat{\mathbf{U}}_A$  we have  $S^{(2)}(\xi \otimes \xi') = S(\xi) \otimes S(\xi')$ ,  $S^{(0,1)}(\xi \otimes \xi') = \xi \otimes S(\xi')$ .

We define an  $A$ -linear map  $\omega : \hat{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A$  by  $\sum_{a \in \dot{\mathbf{B}}} n_a a \mapsto \sum_{a \in \dot{\mathbf{B}}} e_a n_a a^!$  and an  $A$ -linear map  $\omega^{(2)} : \hat{\mathbf{U}}_A^{(2)} \rightarrow \hat{\mathbf{U}}_A^{(2)}$  by

$$\sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a \otimes a' \mapsto \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} e_a e_{a'} n_{a,a'} a^! \otimes a'^!.$$

In particular for  $\xi, \xi'$  in  $\hat{\mathbf{U}}_A$  we have  $\omega^{(2)}(\xi \otimes \xi') = \omega(\xi) \otimes \omega(\xi')$ .

For  $\xi, \xi'$  in  $\hat{\mathbf{U}}_A$  we have  $S(\xi \xi') = S(\xi') S(\xi)$ ,  $\omega(\xi \xi') = \omega(\xi) \omega(\xi')$  (see 1.5(v),(vii)).

We define an  $A$ -linear map  $\epsilon : \hat{\mathbf{U}}_A \rightarrow A$  by  $\sum_{a \in \dot{\mathbf{B}}} n_a a \mapsto n_{1_0}$ . This is a homomorphism of  $A$ -algebras preserving 1, by 1.5(xii).

**1.12.** Let  $M \in \mathfrak{C}_A$ . We define an  $A$ -linear map  $\hat{\mathbf{U}}_A \rightarrow \text{Hom}_A(M, M)$  by  $\sum_{a \in \dot{\mathbf{B}}} n_a a : z \mapsto \sum_{a \in \dot{\mathbf{B}}} n_a a z$ . The last sum is well defined since, by 1.10(a), all but finitely many of its terms are 0. This defines a structure of left  $\hat{\mathbf{U}}_A$ -module on  $M$  extending the  $\dot{\mathbf{U}}_A$ -module structure. Note that  $1 \in \hat{\mathbf{U}}_A$  acts as 1 on  $M$ .

Similarly, if  $M \in \mathfrak{C}_A$ ,  $M' \in \mathfrak{C}_A$  then the obvious  $\dot{\mathbf{U}}_A \otimes \dot{\mathbf{U}}_A$ -module structure on  $M \otimes_A M'$  extends to a  $\hat{\mathbf{U}}_A^{(2)}$ -module structure on  $M \otimes_A M'$  defined by the  $A$ -linear map  $\hat{\mathbf{U}}_A^{(2)} \rightarrow \text{Hom}_A(M \otimes_A M', M \otimes_A M')$ ,

$$\sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a \otimes a' : z \otimes z' \mapsto \sum_{(a,a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a,a'} a z \otimes a' z'.$$

We can now regard  $M \otimes_A M'$  as an  $\dot{\mathbf{U}}_A$ -module by restricting the  $\hat{\mathbf{U}}_A^{(2)}$ -module structure to  $\dot{\mathbf{U}}_A$  via the algebra homomorphism  $\Delta : \dot{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A^{(2)}$ . Note that the resulting  $\dot{\mathbf{U}}_A$ -module  $M \otimes_A M'$  is the one defined in 1.6.

**1.13.** Let  $\lambda, \lambda', \lambda_1, \lambda_2, \lambda'_1, \lambda'_2$  be elements of  $X^+$  such that  $\lambda = \lambda_1 + \lambda_2$ ,  $\lambda' = \lambda'_1 + \lambda'_2$ . Let  $\mathfrak{T} : \Lambda_{\lambda'_1 + \lambda'_2, A} \rightarrow \Lambda_{\lambda'_1, A} \otimes_A \Lambda_{\lambda'_2, A}$  be the restriction of the unique morphism  $\Lambda_{\lambda'_1 + \lambda'_2} \rightarrow \Lambda_{\lambda'_1} \otimes \Lambda_{\lambda'_2}$  in  $\mathfrak{C}$  such that  $\eta_{\lambda'_1 + \lambda'_2} \mapsto \eta_{\lambda'_1} \otimes \eta_{\lambda'_2}$ , see



[L1, 25.1.2(a), 25.1.2(b)]. Similarly let  $\mathfrak{T}' : {}^\omega\Lambda_{\lambda_1+\lambda_2,\mathcal{A}} \rightarrow {}^\omega\Lambda_{\lambda_1,\mathcal{A}} \otimes_{\mathcal{A}} {}^\omega\Lambda_{\lambda_2,\mathcal{A}}$  be the restriction of the unique morphism  ${}^\omega\Lambda_{\lambda_1+\lambda_2} \rightarrow {}^\omega\Lambda_{\lambda_1} \otimes {}^\omega\Lambda_{\lambda_2}$  in  $\mathfrak{C}$  such that  $\xi_{-\lambda_1-\lambda_2} \mapsto \xi_{-\lambda_1} \otimes \xi_{-\lambda_2}$ . Note that  $\mathfrak{T}, \mathfrak{T}'$  are morphisms in  $\mathfrak{C}_{\mathcal{A}}$ . We define

$$\tau : {}^\omega\Lambda_{\lambda,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda',\mathcal{A}} \rightarrow {}^\omega\Lambda_{\lambda_1,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_1,\mathcal{A}} \otimes_{\mathcal{A}} {}^\omega\Lambda_{\lambda_2,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2,\mathcal{A}}$$

as the composition

$$\begin{aligned} & {}^\omega\Lambda_{\lambda,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda',\mathcal{A}} \xrightarrow{\mathfrak{T}' \otimes \mathfrak{T}} {}^\omega\Lambda_{\lambda_1,\mathcal{A}} \otimes_{\mathcal{A}} {}^\omega\Lambda_{\lambda_2,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_1,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2,\mathcal{A}} \\ & \xrightarrow{1 \otimes v^{f(\lambda_2, \lambda'_1)} {}_f\mathcal{R}_{\omega\Lambda_{\lambda_2,\mathcal{A}}, \Lambda_{\lambda'_1,\mathcal{A}}}^{-1} \otimes 1} {}^\omega\Lambda_{\lambda_1,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_1,\mathcal{A}} \otimes_{\mathcal{A}} {}^\omega\Lambda_{\lambda_2,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2,\mathcal{A}}, \end{aligned}$$

a morphism in  $\mathfrak{C}_{\mathcal{A}}$ . Define  $\rho : \dot{\mathbf{U}}_{\mathcal{A}} \rightarrow {}^\omega\Lambda_{\lambda,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda',\mathcal{A}}$  by  $u \mapsto u(\xi_{-\lambda} \otimes \eta_{\lambda'})$ . Define

$$\rho' : \hat{\mathbf{U}}_{\mathcal{A}}^{(2)} \rightarrow {}^\omega\Lambda_{\lambda_1,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_1,\mathcal{A}} \otimes_{\mathcal{A}} {}^\omega\Lambda_{\lambda_2,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2,\mathcal{A}}$$

by  $u \mapsto u(\xi_{-\lambda_1} \otimes_{\mathcal{A}} \eta_{\lambda'_1} \otimes_{\mathcal{A}} \xi_{-\lambda_2} \otimes_{\mathcal{A}} \eta_{\lambda'_2})$ . Here  $M \otimes_{\mathcal{A}} M'$  with  $M = {}^\omega\Lambda_{\lambda_1,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_1,\mathcal{A}}$ ,  $M' = {}^\omega\Lambda_{\lambda_2,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2,\mathcal{A}}$  is regarded as a  $\hat{\mathbf{U}}_{\mathcal{A}}^{(2)}$ -module as in 1.12. We show that the diagram

$$\begin{array}{ccc} \dot{\mathbf{U}}_{\mathcal{A}} & \xrightarrow{\Delta} & \hat{\mathbf{U}}_{\mathcal{A}}^{(2)} \\ \rho \downarrow & & \rho' \downarrow \\ {}^\omega\Lambda_{\lambda,\mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda',\mathcal{A}} & \xrightarrow{\tau} & M \otimes_{\mathcal{A}} M' \end{array}$$

is commutative. We regard  $\dot{\mathbf{U}}_{\mathcal{A}}$  as a  $\dot{\mathbf{U}}_{\mathcal{A}}$ -module via left multiplication. We regard  $\hat{\mathbf{U}}_{\mathcal{A}}^{(2)}$  as a  $\dot{\mathbf{U}}_{\mathcal{A}}$ -module in which  $u \in \dot{\mathbf{U}}_{\mathcal{A}}$  acts by left multiplication by  $\Delta(u)$ . Then  $\Delta$  is  $\dot{\mathbf{U}}_{\mathcal{A}}$ -linear since  $\Delta$  is an algebra homomorphism. From the definitions we see that  $\rho$  and  $\rho'$  are  $\dot{\mathbf{U}}_{\mathcal{A}}$ -linear. Thus all maps in our diagram are  $\dot{\mathbf{U}}_{\mathcal{A}}$ -linear. Since  $\{1_x; x \in X\}$  generate the  $\dot{\mathbf{U}}_{\mathcal{A}}$ -module  $\dot{\mathbf{U}}_{\mathcal{A}}$ , it is enough to show that the two compositions in the diagram coincide when applied to any  $1_x$  with  $x \in X$ . Thus it is enough to show that

$$\tau(1_x(\xi_{-\lambda} \otimes \eta_{\lambda'})) = \sum_{x', x'' \in X; x' + x'' = x} (1_{x'}(\xi_{-\lambda_1} \otimes \eta_{\lambda'_1})) \otimes (1_{x''}(\xi_{-\lambda_2} \otimes \eta_{\lambda'_2}))$$

or equivalently that

$$\tau(\xi_{-\lambda} \otimes \eta_{\lambda'}) = \xi_{-\lambda_1} \otimes \eta_{\lambda'_1} \otimes \xi_{-\lambda_2} \otimes \eta_{\lambda'_2}.$$

This follows from the definitions using the equality

$${}_f\mathcal{R}_{\omega\Lambda_{\lambda_2,\mathcal{A}}, \Lambda_{\lambda'_1,\mathcal{A}}}^{-1}(\xi_{-\lambda_2} \otimes \eta_{\lambda'_1}) = v^{-f(\lambda_2, \lambda'_1)} \eta_{\lambda'_1} \otimes \xi_{-\lambda_2}.$$

We now show:

(a) *The  $\mathcal{A}$ -linear map  $\tau$  is a split injection.*

Since  $\tau$  is the composition of  $\mathfrak{T}' \otimes_{\mathcal{A}} \mathfrak{T}$  with an  $\mathcal{A}$ -linear isomorphism, it is enough to show that  $\mathfrak{T}' \otimes_{\mathcal{A}} \mathfrak{T}$  is a split injection. It is also enough to show that the  $\mathcal{A}$ -linear maps  $\mathfrak{T}$  and  $\mathfrak{T}'$  are split injections. By [L1, 27.1.7],  $\mathfrak{T}$  carries  $\underline{\mathbf{B}}_{\lambda'}$  (an  $\mathcal{A}$ -basis of  $\Lambda_{\lambda', \mathcal{A}}$ ) bijectively onto a subset of  $\underline{\dot{\mathbf{B}}}_{\lambda'_1, \lambda'_2}$  (an  $\mathcal{A}$ -basis of  $\Lambda_{\lambda'_1, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2, \mathcal{A}}$ ). Hence  $\mathfrak{T}$  is a split injection.

We identify the  $\mathcal{A}$ -modules  ${}^\omega \Lambda_{\lambda_1, \mathcal{A}} \otimes_{\mathcal{A}} {}^\omega \Lambda_{\lambda_2, \mathcal{A}}$ ,  $\Lambda_{\lambda_2, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda_1, \mathcal{A}}$  by  $x \otimes y \leftrightarrow y \otimes x$ . Then  $\mathfrak{T}'$  becomes the  $\mathcal{A}$ -linear map  $\Lambda_{\lambda, \mathcal{A}} \rightarrow \Lambda_{\lambda_2, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda_1, \mathcal{A}}$  of the same type as  $\mathfrak{T}$ ; hence it is a split injection by the previous argument for  $\mathfrak{T}$ . This proves (a).

**1.14.** Assume that  $\lambda, \lambda', \lambda_1, \lambda_2, \lambda'_1, \lambda'_2$  in  $X^+$  are such that  $\lambda = \lambda_1 + \lambda_2$ ,  $\lambda' = \lambda'_1 + \lambda'_2$ . We show:

(a) *if  $a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}$ ,  $b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}$ ,  $c' \in \dot{\mathbf{B}} - \dot{\mathbf{B}}_{\lambda, \lambda'}$  then  $\hat{m}_{c'}^{a, b} = 0$  in  $\mathcal{A}$ .*

In the commutative diagram in 1.13 we have  $\rho(c') = 0$  hence  $\rho'(\Delta(c')) = 0$  that is  $\rho'(\sum_{a, b \in \dot{\mathbf{B}}} \hat{m}_{c'}^{a, b} a \otimes b) = 0$  so that

$$\sum_{a, b \in \dot{\mathbf{B}}} \hat{m}_{c'}^{a, b} (a(\xi_{-\lambda_1} \otimes \eta_{\lambda'_1}) \otimes b(\xi_{-\lambda_2} \otimes \eta_{\lambda'_2})) = 0.$$

The term corresponding to  $(a, b)$  is 0 unless  $(a, b) \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1} \times \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}$ ; moreover when  $(a, b)$  runs through  $\dot{\mathbf{B}}_{\lambda_1, \lambda'_1} \times \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}$ , the elements  $a(\xi_{-\lambda_1} \otimes \eta_{\lambda'_1}) \otimes b(\xi_{-\lambda_2} \otimes \eta_{\lambda'_2})$  are linearly independent (they form the basis  $\underline{\dot{\mathbf{B}}}_{\lambda_1, \lambda'_1} \otimes \underline{\dot{\mathbf{B}}}_{\lambda_2, \lambda'_2}$ ). It follows that  $\hat{m}_{c'}^{a, b} = 0$  for any  $(a, b) \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1} \times \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}$ . This proves (a).

**1.15.** In the setup of 1.14, we show:

(a) *Let  $c \in \dot{\mathbf{B}}_{\lambda, \lambda'}$ . There exists a function  $h : \dot{\mathbf{B}}_{\lambda_1, \lambda'_1} \times \dot{\mathbf{B}}_{\lambda_2, \lambda'_2} \rightarrow \mathcal{A}$  such that for any  $c' \in \dot{\mathbf{B}}$  we have*

$$\sum_{a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} h(a, b) \hat{m}_{c'}^{a, b} = \delta_{c, c'}.$$

For any  $\tilde{\lambda}, \tilde{\lambda}'$  in  $X^+$ , we set  $b^\dagger = b(\xi_{-\tilde{\lambda}} \otimes_{\mathcal{A}} \eta_{\tilde{\lambda}'})$  for  $b \in \dot{\mathbf{B}}_{\tilde{\lambda}, \tilde{\lambda}'}$ , so that  $\{b^\dagger; b \in \dot{\mathbf{B}}_{\tilde{\lambda}, \tilde{\lambda}'}\}$  is an  $\mathcal{A}$ -basis of  ${}^\omega \Lambda_{\tilde{\lambda}, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\tilde{\lambda}', \mathcal{A}}$  and we denote by  $\{\hat{b}; b \in \dot{\mathbf{B}}_{\tilde{\lambda}, \tilde{\lambda}'}\}$  the dual  $\mathcal{A}$ -basis of  $\text{Hom}_{\mathcal{A}}({}^\omega \Lambda_{\tilde{\lambda}, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\tilde{\lambda}', \mathcal{A}}, \mathcal{A})$ . In particular the bases  $\{\hat{c}; c' \in \dot{\mathbf{B}}_{\lambda, \lambda'}\}$ ,  $\{\hat{a}'; a' \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}\}$ ,  $\{\hat{b}'; b' \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}\}$  of  $\text{Hom}_{\mathcal{A}}(\Lambda_{\lambda, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda', \mathcal{A}}, \mathcal{A})$ ,  $\text{Hom}_{\mathcal{A}}(\Lambda_{\lambda_1, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_1, \mathcal{A}}, \mathcal{A})$ ,  $\text{Hom}_{\mathcal{A}}(\Lambda_{\lambda_2, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2, \mathcal{A}}, \mathcal{A})$ , are defined. By 1.13(a), we can find an  $\mathcal{A}$ -linear map

$$\psi : \Lambda_{\lambda_1, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_1, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda_2, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda'_2, \mathcal{A}} \rightarrow \Lambda_{\lambda, \mathcal{A}} \otimes_{\mathcal{A}} \Lambda_{\lambda', \mathcal{A}}$$

such that  $\psi\tau = 1$  with  $\tau$  as in 1.13. The transpose maps  ${}^t\psi, {}^t\tau$  satisfy  ${}^t\tau({}^t\psi) = 1$ .

We can write uniquely

$${}^t\psi(\hat{c}) = \sum_{a' \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b' \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} h(a', b') \hat{a}' \otimes \hat{b}'$$

with  $h(a', b') \in \mathcal{A}$ . Hence

$$\hat{c} = \sum_{a' \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b' \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} h(a', b')^t \tau(\hat{a}' \otimes \hat{b}').$$

Evaluating both sides at  $c'^\dagger$  with  $c' \in \dot{\mathbf{B}}_{\lambda, \lambda'}$  and using

$$\tau(c'^\dagger) = \sum_{a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} \hat{m}_{c'}^{a, b} a^\dagger \otimes b^\dagger$$

(which follows from the commutative diagram in 1.13) we have

$$\delta_{c, c'} = \sum_{a, a' \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b, b' \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} h(a', b') \hat{m}_{c'}^{a, b} \delta_{a', a} \delta_{b', b}$$

that is

$$\delta_{c, c'} = \sum_{a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} h(a, b) \hat{m}_{c'}^{a, b}.$$

This also holds when  $c' \in \dot{\mathbf{B}} - \dot{\mathbf{B}}_{\lambda, \lambda'}$  (both sides are 0 by 1.14(a)). This proves (a).

**Lemma 1.16.** *For any  $a, b$  in  $\dot{\mathbf{B}}$ , the set  $\{c \in \dot{\mathbf{B}}; \hat{m}_c^{a, b} \in \mathcal{A} - \{0\}\}$  is finite.*

We have  $a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}$ ,  $b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}$  for some  $\lambda_1, \lambda'_1, \lambda_2, \lambda'_2$  in  $X^+$ . By 1.14(a) we have  $\{c \in \dot{\mathbf{B}}; \hat{m}_c^{a, b} \in \mathcal{A} - \{0\}\} \subset \dot{\mathbf{B}}_{\lambda_1 + \lambda_2, \lambda'_1 + \lambda'_2}$ . Since  $\dot{\mathbf{B}}_{\lambda_1 + \lambda_2, \lambda'_1 + \lambda'_2}$  is finite, the lemma holds.

**1.17.** We define an  $A$ -linear map  $\hat{D} : \hat{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A^{(2)}$  by

$$\sum_{c \in \dot{\mathbf{B}}} n_c c \mapsto \sum_{(a, b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} \left( \sum_{c \in \dot{\mathbf{B}}} \hat{m}_c^{a, b} n_c \right) a \otimes b.$$

This make sense: for any  $(a, b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}$ , the sum  $\sum_{c \in \dot{\mathbf{B}}} \hat{m}_c^{a, b} n_c$  has only finitely many non-zero terms. (See 1.16.) Using 1.5(iii) we see that  $\hat{D}$  is an  $A$ -algebra homomorphism. It clearly extends the homomorphism  $\Delta : \dot{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A^{(2)}$ . From 1.5(vi), (viii) we see that

$$\hat{D}(S(\xi)) = S^{(2)} \text{tr} \hat{D}(\xi), \quad \hat{D}(\omega(\xi)) = \omega^{(2)} \text{tr} \hat{D}(\xi)$$

for any  $\xi \in \hat{\mathbf{U}}_A$  where  $S^{(2)}, \omega^{(2)}$  are as in 1.11 and  $\text{tr} : \hat{\mathbf{U}}_A^{(2)} \rightarrow \hat{\mathbf{U}}_A^{(2)}$  is the  $A$ -linear map given by

$$\sum_{(a, a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a, a'} a \otimes a' \mapsto \sum_{(a, a') \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} n_{a', a} a \otimes a'.$$

If  $v = 1$  in  $A$  then  $\text{tr} \hat{D}(\xi) = \hat{D}(\xi)$  for  $\xi \in \hat{\mathbf{U}}_A$  (see 1.5(xiii)). Hence in this case we have  $\hat{D}(S(\xi)) = S^{(2)} \hat{D}(\xi)$ ,  $\hat{D}(\omega(\xi)) = \omega^{(2)} \hat{D}(\xi)$  for  $\xi \in \hat{\mathbf{U}}_A$ .

**1.18.** For any  $i \in I$  and  $h \in A$  we set

$$x_i(h) = \sum_{c \in \mathbf{N}, \lambda \in X} h^c \theta_i^{(c)+} 1_\lambda \in \hat{\mathbf{U}}_A,$$

$$y_i(h) = \sum_{c \in \mathbf{N}, \lambda \in X} h^c \theta_i^{(c)-} 1_\lambda \in \hat{\mathbf{U}}_A.$$

Note that  $\theta_i^{(t)\pm} 1_\lambda \in \dot{\mathbf{B}}$ , see [L1, 25.3.1]. We have

$$y_i(h) = \omega(x_i(h)).$$

We show:

(a) If  $v = 1$  in  $A$  then  $\hat{D}(x_i(h)) = x_i(h) \otimes x_i(h) \in \hat{\mathbf{U}}_A^{(2)}$ ,  $\hat{D}(y_i(h)) = y_i(h) \otimes y_i(h) \in \hat{\mathbf{U}}_A^{(2)}$ .

Without assumption on  $v \in A$  we have

$$\hat{D}(x_i(h)) = \sum_{t', t'' \in \mathbf{N}, \lambda', \lambda'' \in X} h^{t'+t''} v_i^{t' t''} v_i^{< i, \lambda' > t''} (\theta_i^{(t') +} 1_{\lambda'}) \otimes (\theta_i^{(t'' +)} 1_{\lambda''}),$$

$$\hat{D}(y_i(h)) = \sum_{t', t'' \in \mathbf{N}, \lambda', \lambda'' \in X} h^{t'+t''} v_i^{-t' t''} v_i^{-< i, \lambda'' > t'} (\theta_i^{(t') -} 1_{\lambda'}) \otimes (\theta_i^{(t'' -)} 1_{\lambda''}).$$

The desired formulas follow.

We show:

(b) If  $v = 1$  in  $A$  and  $h, h' \in A$ , then  $x_i(h+h') = x_i(h)x_i(h') \in \hat{\mathbf{U}}_A$ ,  $y_i(h+h') = y_i(h)y_i(h') \in \hat{\mathbf{U}}_A$ .

Without assumption on  $v \in A$  we have

$$x_i(h)x_i(h') = \sum_{t \in \mathbf{N}, \lambda \in X} \left( \sum_{t', t''; t'+t''=t} h^{t'} h^{t''} \begin{bmatrix} t \\ t' \end{bmatrix}_i \right) \theta_i^{(t)+} 1_\lambda,$$

$$y_i(h)y_i(h') = \sum_{t \in \mathbf{N}, \lambda \in X} \left( \sum_{t', t''; t'+t''=t} h^{t'} h^{t''} \begin{bmatrix} t \\ t' \end{bmatrix}_i \right) \theta_i^{(t)-} 1_\lambda.$$

If  $v = 1$  we have  $\begin{bmatrix} t \\ t' \end{bmatrix}_i = \binom{t}{t'}$  and  $\sum_{t', t''; t'+t''=t} h^{t'} h^{t''} \begin{bmatrix} t \\ t' \end{bmatrix}_i = (h+h')^t$ . The desired formulas follow.

**1.19.** We say that a (possibly infinite) sum  $\sum_{f \in F} p_f$  with  $p_f \in \hat{\mathbf{U}}_A$  is *defined* in  $\hat{\mathbf{U}}_A$  if, when setting  $p_f = \sum_{a \in \dot{\mathbf{B}}} n_{a,f} a \in \hat{\mathbf{U}}_A$  ( $n_{a,f} \in A$ ), the set  $\{f \in F; n_{a,f} \neq 0\}$  is finite for any  $a \in \dot{\mathbf{B}}$ . In this case we assign to the sum the value  $\sum_{a \in \dot{\mathbf{B}}} (\sum_{f \in F} n_{a,f}) a \in \hat{\mathbf{U}}_A$ . Using 1.8 we see that, if the (possibly infinite) sums  $\sum_{f \in F} p_f$ ,  $\sum_{f' \in F'} p'_{f'}$  are defined in  $\hat{\mathbf{U}}_A$ , then the sum  $\sum_{(f,f') \in F \times F'} p_f p'_{f'}$  is defined in  $\hat{\mathbf{U}}_A$  and we have

$$\left( \sum_{f \in F} p_f \right) \left( \sum_{f' \in F'} p'_{f'} \right) = \sum_{(f,f') \in F \times F'} p_f p'_{f'}, \text{ in } \hat{\mathbf{U}}_A.$$

2. THE ELEMENTS  $s'_{i,e}, s''_{i,e}$  OF  $\hat{\mathbf{U}}_A$ 

**2.1.** In this section we introduce and study some elements  $s'_{i,e}, s''_{i,e}$  of  $\hat{\mathbf{U}}_A$  which implement the braid group action [L1, Ch.39] on  $\hat{\mathbf{U}}_A$ .

Let  $i \in I, e = \pm 1$ . Let

$$s'_{i,e} = \sum_{y \in \mathbf{Z}, x \in \mathbf{Z}, \lambda \in X; \langle i, \lambda \rangle = x+y} (-1)^y v_i^{ey} \theta_i^{(x)-} 1_\lambda \theta_i^{(y)+} \in \hat{\mathbf{U}}_A,$$

$$s''_{i,e} = \sum_{y \in \mathbf{Z}, x \in \mathbf{Z}, \lambda \in X; \langle i, \lambda \rangle = x+y} (-1)^x v_i^{ex} \theta_i^{(x)-} 1_\lambda \theta_i^{(y)+} \in \hat{\mathbf{U}}_A.$$

Note that in the formulas above each  $\theta_i^{(x)-} 1_\lambda \theta_i^{(y)+}$  belongs to  $\dot{\mathbf{B}}$  (see [L1, 25.3.1]). We have  $\omega(s'_{i,e}) = s''_{i,e}$ .

**Lemma 2.2.** *For any  $r \in \mathbf{Z}$  we consider the sums*

$$(i) \quad \sum_{m,n,p \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = m-n+p-r} (-1)^n v_i^{e(-mp+n)} \theta_i^{(m)-} \theta_i^{(n)+} \theta_i^{(p)-} 1_\lambda,$$

$$(ii) \quad \sum_{m,n,p \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+n-p+r} (-1)^n v_i^{e(-mp+n)} \theta_i^{(m)+} \theta_i^{(n)-} \theta_i^{(p)+} 1_\lambda.$$

(a) The sums (i), (ii) are defined in  $\hat{\mathbf{U}}_A$ . Let  $\tau'_{i,e,r}, \tau''_{i,e,r}$  be their value in  $\hat{\mathbf{U}}_A$ .

(b) We have  $\tau'_{i,e,0} = s'_{i,e}, \tau''_{i,e,0} = s''_{i,e}$ .

(c) If  $v = 1$  in  $A$  and  $r \in \mathbf{Z} - \{0\}$ , we have  $\tau'_{i,e,r} = 0, \tau''_{i,e,r} = 0$ .

(d) If  $v = 1$  in  $A$  we have  $s''_{i,e} = x_i(1)y_i(-1)x_i(1), s'_{i,e} = y_i(1)x_i(-1)y_i(1)$  in  $\hat{\mathbf{U}}_A$ .

(e) If  $v = 1$  in  $A$  we have  $\hat{D}(s'_{i,e}) = s'_{i,e} \otimes s'_{i,e}$  and  $\hat{D}(s''_{i,e}) = s''_{i,e} \otimes s''_{i,e}$  in  $\hat{\mathbf{U}}_A^{(2)}$ .

We compute formally the sum (ii) for  $r \in \mathbf{N}$  (using 1.4):

$$\begin{aligned}
& \sum_{m,n,p \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+n-p+r} (-1)^n v_i^{e(-mp+n)} \theta_i^{(m)+} 1_{\lambda+pi'-ni'} \theta_i^{(n)-} \theta_i^{(p)+} \\
&= \sum_{m \in \mathbf{Z}, n \in \mathbf{Z}, p \in \mathbf{N}, t \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+n-p+r} (-1)^n v_i^{e(-mp+n)} \times \\
&\times \left[ \begin{matrix} m+n - \langle i, -\lambda - pi' + ni' \rangle \\ t \end{matrix} \right]_i \theta_i^{(n-t)-} 1_{\lambda+pi'-ni'+(n+m-t)i'} \theta_i^{(m-t)+} \theta_i^{(p)+} \\
&= \sum_{m \in \mathbf{Z}, n \in \mathbf{Z}, p \in \mathbf{N}, t \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+n-p+r} (-1)^n v_i^{e(-mp+n)} \left[ \begin{matrix} r+p \\ t \end{matrix} \right]_i \times \\
&\times \left[ \begin{matrix} m+p-t \\ p \end{matrix} \right]_i \theta_i^{(n-t)-} 1_{\lambda+pi'-ni'+(n+m-t)i'} \theta_i^{(m+p-t)+} \\
&= \sum_{m \in \mathbf{Z}, x \in \mathbf{Z}, p \in \mathbf{N}, t \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+x+t-p+r} (-1)^{x+t} v_i^{e(-mp+x+t)} \left[ \begin{matrix} r+p \\ t \end{matrix} \right]_i \times \\
&\times \left[ \begin{matrix} m+p-t \\ p \end{matrix} \right]_i \theta_i^{(x)-} 1_{\lambda+(m+p-t)i'} \theta_i^{(m+p-t)+} \\
&= \sum_{y \in \mathbf{N}, x \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -y+x+r} (-1)^{x+t} v_i^{e(-(y-p+t)p+x+t)} \left[ \begin{matrix} r+p \\ t \end{matrix} \right]_i \left[ \begin{matrix} y \\ p \end{matrix} \right]_i c_{x,y,\lambda;r} \theta_i^{(x)-} 1_{\lambda+yi'} \theta_i^{(y)+}
\end{aligned}$$

where the last product  $\theta_i^{(x)-} 1_{\lambda+yi'} \theta_i^{(y)+}$  belongs to  $\dot{\mathbf{B}}$  (see [L1, 25.3.1]) and

$$c_{x,y,\lambda;r} = \sum_{p \in \mathbf{N}, t \in \mathbf{N}} (-1)^{x+t} v_i^{e(-(y-p+t)p+x+t)} \left[ \begin{matrix} r+p \\ t \end{matrix} \right]_i \left[ \begin{matrix} y \\ p \end{matrix} \right]_i.$$

Note that only finitely many terms of this sum can be  $\neq 0$  (those for which  $p \leq y$  and  $t \leq r+p$ ); here we have used that  $r \in \mathbf{N}$ . In particular the sum (ii) is defined in  $\hat{\mathbf{U}}_A$  and has a value  $\tau''_{i,e;r}$  in  $\hat{\mathbf{U}}_A$ .

Next we compute formally the sum (ii) for  $r \in -\mathbf{N}$  (using 1.4):

$$\begin{aligned}
& \sum_{m,n,p \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+n-p+r} (-1)^n v_i^{e(-mp+n)} \theta_i^{(m)+} \theta_i^{(n)-} 1_{\lambda+pi'} \theta_i^{(p)+} \\
&= \sum_{m \in \mathbf{N}, n \in \mathbf{Z}, p \in \mathbf{Z}, t \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+n-p+r} (-1)^n v_i^{e(-mp+n)} \begin{bmatrix} n+p - \langle i, \lambda + pi' \rangle \\ t \end{bmatrix}_i \times \\
& \times \theta_i^{(m)+} \theta_i^{(p-t)+} 1_{\lambda+pi'-(n+p-t)i'} \theta_i^{(n-t)-} \\
&= \sum_{m \in \mathbf{N}, n \in \mathbf{Z}, p \in \mathbf{Z}, t \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = -m+n-p+r} (-1)^n v_i^{e(-mp+n)} \begin{bmatrix} m-r \\ t \end{bmatrix}_i \times \\
& \times \begin{bmatrix} m+p-t \\ m \end{bmatrix}_i \theta_i^{(m+p-t)+} 1_{\lambda-(n-t)i'} \theta_i^{(n-t)-} \\
&= \sum_{m \in \mathbf{N}, x \in \mathbf{Z}, y \in \mathbf{Z}, t \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = y-x+r} (-1)^{x+t} v_i^{e(-m(x+t-m)+y+t)} \begin{bmatrix} m-r \\ t \end{bmatrix}_i \times \\
& \times \begin{bmatrix} x \\ m \end{bmatrix}_i \theta_i^{(x)+} 1_{\lambda-yi'} \theta_i^{(y)-} \\
&= \sum_{x \in \mathbf{N}, y \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle = y-x+r} \tilde{c}_{x,y,\lambda;r} \theta_i^{(x)+} 1_{\lambda-yi'} \theta_i^{(y)-},
\end{aligned}$$

where the last product  $\theta_i^{(x)+} 1_{\lambda-yi'} \theta_i^{(y)-}$  belongs to  $\dot{\mathbf{B}}$  (see [L1, 25.3.1]) and

$$\tilde{c}_{x,y,\lambda;r} = \sum_{m \in \mathbf{N}, t \in \mathbf{N}} (-1)^{x+t} v_i^{e(-m(x+t-m)+y+t)} \begin{bmatrix} m-r \\ t \end{bmatrix}_i \begin{bmatrix} x \\ m \end{bmatrix}_i.$$

Note that only finitely many terms of this sum can be  $\neq 0$  (those for which  $m \leq x$  and  $t \leq m-r$ ); here we have used that  $r \in -\mathbf{N}$ . In particular the sum (ii) is defined in  $\hat{\mathbf{U}}_A$  and has a value  $\tau''_{i,e;r}$  in  $\hat{\mathbf{U}}_A$ .

From the computations above we see also that the sum

$$\sum_{m,n,p \in \mathbf{N}, \lambda \in X} (-1)^n v_i^{e(-mp+n)} \theta_i^{(m)+} \theta_i^{(n)-} \theta_i^{(p)+} 1_{\lambda}$$

is defined in  $\hat{\mathbf{U}}_A$  and its value  $\tau''_{i,e} \in \hat{\mathbf{U}}_A$  is equal to  $\sum_{r \in \mathbf{Z}} \tau''_{i,e;r}$ .

In the formula for  $c_{x,y,\lambda;0}$  we have

$$\sum_{t \in \mathbf{N}} (-1)^{x+t} v_i^{e(-(y-p+t)p+x+t)} \begin{bmatrix} r+p \\ t \end{bmatrix}_i = (-1)^x v_i^{e(-(y-p)p+x)} \delta_{p,0} = (-1)^x v_i^{ex} \delta_{p,0}$$

hence  $c_{x,y,\lambda;0} = (-1)^x v_i^{ex}$  and

$$\tau''_{i,e;0} = \sum_{y \in \mathbf{Z}, x \in \mathbf{Z}, \lambda \in X; \langle i, \lambda \rangle = -y+x} (-1)^x v_i^{ex} \theta_i^{(x)-} 1_{\lambda+yi'} \theta_i^{(y)+}.$$

We see that  $\tau''_{i,e;0} = s''_{i,e}$ . Similarly, we see that the family defining the sum (i) is defined hence it has a value  $\tau'_{i,e;r}$  in  $\hat{\mathbf{U}}_A$ , that the sum

$$\sum_{m,n,p \in \mathbf{N}, \lambda \in X} (-1)^n v_i^{e(-mp+n)} \theta_i^{(m)-} \theta_i^{(n)+} \theta_i^{(p)-} 1_\lambda,$$

is defined in  $\hat{\mathbf{U}}_A$  and its value  $\tau'_{i,e} \in \hat{\mathbf{U}}_A$  is equal to  $\sum_{r \in \mathbf{Z}} \tau'_{i,e;r}$ . We see also that  $\tau'_{i,e;0} = s'_{i,e}$ . (These statements could be also deduced from the earlier part of the proof using that  $\tau''_{i,e;r} = \omega(\tau'_{i,e;r})$ .)

In the remainder of the proof we assume that  $v = 1$  in  $A$ . In this case in the formula defining  $c_{x,y,\lambda;r}$  with  $r > 0$  we have  $\sum_{t \in \mathbf{N}} (-1)^{x+t} \begin{bmatrix} r+p \\ t \end{bmatrix}_i = 0$  since  $r+p > 0$  and  $v = 1$ . Hence  $c_{x,y,\lambda;r} = 0$  and  $\tau''_{i,e;r} = 0$  for  $r > 0$ . In the formula defining  $\tilde{c}_{x,y,\lambda;r}$  with  $r < 0$  we have  $\sum_{t \in \mathbf{N}} (-1)^{x+t} \begin{bmatrix} m-r \\ t \end{bmatrix}_i = 0$  since  $m-r > 0$  hence  $\tilde{c}_{x,y,\lambda;r} = 0$  and  $\tau''_{i,e;r} = 0$  for  $r < 0$ . We see that  $\tau''_{i,e;r} = 0$  for  $r \neq 0$  and  $\tau''_{i,e} = \tau''_{i,e;0} = s''_{i,e}$ . Applying  $\omega$  we see that  $\tau'_{i,e;r} = 0$  for  $r \neq 0$  and  $\tau'_{i,e} = \tau'_{i,e;0} = s'_{i,e}$ . It is clear that  $\tau'_{i,e} = x_i(1)y_i(-1)x_i(1)$ ,  $\tau'_{i,-e} = y_i(1)x_i(-1)y_i(1)$  in  $\hat{\mathbf{U}}_A$ . Hence (d) follows. Now (e) follows from (d) using 1.18(a) and the fact that  $\hat{D} : \hat{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A^{(2)}$  is an algebra homomorphism.

**2.3.** Let  $i \in I, e = \pm 1$ . Let  $T'_{i,e}, T''_{i,e}$  be the algebra automorphisms of  $\hat{\mathbf{U}}_A$  defined in [L1, 41.1.8]. For any  $M \in \mathfrak{C}_A$  let  $T'_{i,e} : M \rightarrow M$ ,  $T''_{i,e} : M \rightarrow M$  be the  $A$ -linear isomorphisms defined in [L1, 41.2.3]. From the definitions we have  $T'_{i,e}(z) = \tau'_{i,e;0}z$ ,  $T''_{i,e}(z) = \tau''_{i,e;0}z$  for  $z \in M$  (using the  $\hat{\mathbf{U}}_A$ -module structure of  $M$ ). By [L1, 41.2.4], for  $u \in \hat{\mathbf{U}}_A, z \in M$  we have

$$T'_{i,e}(uz) = T'_{i,e}(u)(T'_{i,e}(z)), \quad T''_{i,e}(uz) = T''_{i,e}(u)(T''_{i,e}(z)),$$

hence, using 2.2(b):

$$(a) \quad s'_{i,e}uz = T'_{i,e}(u)(s'_{i,e}z), \quad s''_{i,e}uz = T''_{i,e}(u)(s''_{i,e}z).$$

We show:

$$(b) \quad s'_{i,e}s''_{i,-e} = 1.$$

Let  $s = s'_{i,e}s''_{i,-e} - 1 \in \hat{\mathbf{U}}_A$ . We have  $s = \sum_{a \in \dot{\mathbf{B}}} n_a a$  with  $n_a \in A$ . If  $M \in \mathfrak{C}_A$  and  $z \in M$  we have  $sz = T'_{i,e}T''_{i,-e}(z) - z = 0$ , see [L1, 41.2.4]. In particular if  $\lambda, \lambda' \in X^+$  we have  $s(\xi_{-\lambda} \otimes \eta_\lambda) = 0$  in  ${}^\omega \Lambda_{\lambda,A} \otimes_A \Lambda_{\lambda',A}$ . Hence  $\sum_{a \in \dot{\mathbf{B}}_{\lambda,\lambda'}} n_a a(\xi_{-\lambda} \otimes \eta_\lambda) = 0$ . Since the elements  $a(\xi_{-\lambda} \otimes \eta_\lambda)$  with  $a \in \dot{\mathbf{B}}_{\lambda,\lambda'}$  form a basis of  ${}^\omega \Lambda_{\lambda,A} \otimes_A \Lambda_{\lambda',A}$  it follows that  $n_a = 0$  for any  $a \in \dot{\mathbf{B}}_{\lambda,\lambda'}$ . Since  $\dot{\mathbf{B}} = \cup_{\lambda,\lambda'} \dot{\mathbf{B}}_{\lambda,\lambda'}$  we see that  $n_a = 0$  for any  $a \in \dot{\mathbf{B}}$  hence  $s = 0$  and (b) is proved.

We show:

$$(c) \text{ for any } u \in \hat{\mathbf{U}}_A \text{ we have } T'_{i,e}(u) = s'_{i,e}us'_{i,e}^{-1}, \quad T''_{i,e}(u) = s''_{i,e}us''_{i,e}^{-1}.$$

If  $M \in \mathfrak{C}_A$  and  $z \in M$  we have (using (b),(a))  $s'_{i,e}us'_{i,e}^{-1}s'_{i,e}z = s'_{i,e}uz = T'_{i,e}(u)(z)$ . Thus, setting  $u' = s'_{i,e}us'_{i,e}^{-1} - T'_{i,e}(u) \in \hat{\mathbf{U}}_A$ , we have  $u'z = 0$ . Since this holds for any  $M, z$  we see as in the proof of (b) that  $u' = 0$ . This proves the first equality in (c). The second equality is proved similarly.



**2.4.** Let  $i \neq j$  in  $I$ . Let  $e = \pm 1$ . Let  $n = n_{ij} = n_{ji}$  be as in 1.1. We show:

- (a)  $s'_{i,e} s'_{j,e} s'_{i,e} \cdots = s'_{j,e} s'_{i,e} s'_{j,e} \cdots$  in  $\hat{\mathbf{U}}_A$ ;
  - (b)  $s''_{i,e} s''_{j,e} s''_{i,e} \cdots = s''_{j,e} s''_{i,e} s''_{j,e} \cdots$  in  $\hat{\mathbf{U}}_A$ ;
- (all products in (a) and (b) have  $n$  factors).

Let  $s_1 \in \hat{\mathbf{U}}_A$  be the left hand side minus the right hand side of (a). If  $M \in \mathfrak{C}_A$  and  $z \in M$ , we have  $s_1 z = (T'_{i,e} T'_{j,e} T'_{i,e} \cdots) z - (T'_{j,e} T'_{i,e} T'_{j,e} \cdots) z$  and this is 0 by [L1, 41.2.4(a)]. Thus  $s_1 z = 0$ . Since this holds for any  $M, z$  we see as in the proof of 2.3(b) that  $s_1 = 0$ . Thus (a) holds. The proof of (b) is similar.

**2.5.** Let  $e = \pm 1$ . Let  $w \in W$ . From 2.4(a),(b) we deduce by a standard argument that there are unique elements  $w'_e \in \hat{\mathbf{U}}_A$ ,  $w''_e \in \hat{\mathbf{U}}_A$  such that  $w'_e = s'_{i_1,e} s'_{i_2,e} \cdots s'_{i_r,e}$ ,  $w''_e = s''_{i_1,e} s''_{i_2,e} \cdots s''_{i_r,e}$  for any sequence  $i_1, i_2, \dots, i_r$  in  $I$  such that  $w = s_{i_1} s_{i_2} \cdots s_{i_r}$  in  $W$  with  $r = l(w)$ . Using 2.3(b) we see that  $w'_e (w^{-1})''_{-e} = 1$ . From the definitions we have  $\omega(w'_e) = w''_e$ .

**2.6.** Let  $e = \pm 1$ . Assume that  $v = 1$  in  $A$ . From 2.2(d) we see that for  $i \in I$ ,  $s'_{i,e}, s''_{i,e}$  are independent of the choice of  $e$ ; we denote them by  $s'_i, s''_i$ . Using this and the definitions we see that for  $w \in W$ ,  $w'_e, w''_e$  are independent of the choice of  $e$ ; we denote them by  $w', w''$ . Using 2.2(e) and the fact that  $\hat{D}$  is an algebra homomorphism we see that

$$(a) \hat{D}(w') = w' \otimes w', \hat{D}(w'') = w'' \otimes w'' \text{ (in } \hat{\mathbf{U}}_A^{(2)}) \text{ for any } w \in W.$$

**2.7.** Let  $\dot{\mathbf{B}}^+ = \{b^+ 1_\lambda; b \in \mathbf{B}, \lambda \in X\} = \{1_{\lambda'} b^+; b \in \mathbf{B}, \lambda' \in X\}$ , a subset of  $\dot{\mathbf{B}}$ , see [L1, 25.2.6]. Let  $\dot{\mathbf{B}}^- = \{b^- 1_\lambda; b \in \mathbf{B}, \lambda \in X\} = \{1_{\lambda'} b^-; b \in \mathbf{B}, \lambda' \in X\}$ , a subset of  $\dot{\mathbf{B}}$ . Let  $\dot{\mathbf{U}}_A^+$  (resp.  $\dot{\mathbf{U}}_A^-$ ) be the  $A$ -submodule of  $\dot{\mathbf{U}}_A$  spanned by  $\dot{\mathbf{B}}^+$  (resp. by  $\dot{\mathbf{B}}^-$ ). Now  $\dot{\mathbf{B}}^+$  (resp.  $\dot{\mathbf{B}}^-$ ) is a basis of the  $A$ -module  $\dot{\mathbf{U}}_A^+$  (resp.  $\dot{\mathbf{U}}_A^-$ ) and  $\dot{\mathbf{U}}_A^+, \dot{\mathbf{U}}_A^-$  are subalgebras of  $\dot{\mathbf{U}}_A$ . From the definitions we have  $\omega(b^+ 1_\lambda) = b^- 1_{-\lambda}$  for  $b \in \mathbf{B}$ ,  $\lambda \in X$ . Hence setting  $a = b^+ 1_\lambda \in \dot{\mathbf{B}}^+$  we have  $e_a = 1$  and  $a^! = b^- 1_{-\lambda}$  (see 1.5). Thus,  $\omega : \dot{\mathbf{U}}_A \rightarrow \dot{\mathbf{U}}_A$  restricts to a bijection  $\dot{\mathbf{B}}^+ \xrightarrow{\sim} \dot{\mathbf{B}}^-$  and to an  $A$ -algebra isomorphism  $\dot{\mathbf{U}}_A^+ \xrightarrow{\sim} \dot{\mathbf{U}}_A^-$ .

For  $b, b' \in \mathbf{B}$  we set  $b^+ = \sum_{\lambda \in X} b^+ 1_\lambda \in \hat{\mathbf{U}}_A$ ,  $b'^- = \sum_{\lambda \in X} b'^- 1_\lambda \in \hat{\mathbf{U}}_A$ . Then the elements  $b^+ 1_\lambda b'^- \in \dot{\mathbf{U}}_A$ ,  $b'^- 1_\lambda b^+ \in \dot{\mathbf{U}}_A$  (as in 1.4) which are not products in  $\dot{\mathbf{U}}_A$ , can be interpreted as products  $b^+ \cdot 1_\lambda \cdot b'^-, b'^- \cdot 1_\lambda \cdot b^+$  in  $\hat{\mathbf{U}}_A$ .

**2.8.** Assume that  $v = 1$  in  $A$ . Let  $w_0$  be as in 1.1. Let  $n = l(w_0)$ . We fix a sequence  $i_1, i_2, \dots, i_n$  in  $I$  such that  $s_{i_1} s_{i_2} \cdots s_{i_n} = w_0$ . For any  $c \in \mathbf{N}$  and  $k \in [1, n]$  there is a unique element  $x_{c,k} \in \mathbf{f}_A$  such that in  $\dot{\mathbf{U}}_A$  we have

$$T''_{i_1,1} T''_{i_2,1} \cdots T''_{i_{k-1},1} (\theta_{i_k}^{(c)+} 1_{s_{i_{k-1}} s_{i_{k-2}} \cdots s_{i_1}} \lambda) = x_{c,k}^+ 1_\lambda$$

for any  $\lambda \in X^+$ . (See [L1, 41.1.3].) For any  $\mathbf{c} = (c_1, c_2, \dots, c_n) \in \mathbf{N}^n$  we set

$$x_{\mathbf{c}} = x_{c_1,1} x_{c_2,2} \cdots x_{c_n,n} \in \mathbf{f}_A.$$

By [L1, 41.1.4], [L1, 41.1.7], the set  $\{x_{\mathbf{c}}; \mathbf{c} \in \mathbf{N}^n\}$  is an  $A$ -basis of  $\mathbf{f}_A$ . We show:

(a) For any  $\mathbf{c} \in \mathbf{N}^n$  we have

$$\hat{D}(x_{\mathbf{c}}^+) = \sum_{\mathbf{c}', \mathbf{c}'' \in \mathbf{N}; \mathbf{c}' + \mathbf{c}'' = \mathbf{c}} x_{\mathbf{c}'}^+ \otimes x_{\mathbf{c}''}^+ \text{ in } \hat{\mathbf{U}}^{(2)}.$$

(The last sum is defined since  $\mathbf{c}', \mathbf{c}''$  only take finitely many values.) For any  $k \in [1, n], c \in \mathbf{N}$  we have

$$\hat{D}(x_{c,k}^+) = \sum_{\substack{c', c'' \in \mathbf{N} \\ c' + c'' = c}} x_{c',k}^+ \otimes x_{c'',k}^+.$$

(We use the formulas  $T_{i,1}''(u) = s_{i,1}'' u s_{i,1}''^{-1}$ , see 2.3(c), and  $\hat{D}(s_{i,1}'') = s_{i,1}'' \otimes s_{i,1}''$ , see 2.2(e).) It follows that

$$\begin{aligned} \hat{D}(x_{\mathbf{c}}^+) &= \hat{D}(x_{c_1,1}^+) \hat{D}(x_{c_2,2}^+) \dots \hat{D}(x_{c_n,n}^+) \\ &= \sum_{\mathbf{c}', \mathbf{c}'' \in \mathbf{N}; \mathbf{c}' + \mathbf{c}'' = \mathbf{c}} (x_{c'_1,1}^+ x_{c'_2,2}^+ \dots x_{c'_n,n}^+) \otimes (x_{c''_1,1}^+ x_{c''_2,2}^+ \dots x_{c''_n,n}^+) \end{aligned}$$

which yields (a).

### 3. THE HOPF ALGEBRA $\mathbf{O}_A$

**3.1.** In this section we define the Hopf algebra  $\mathbf{O}_A$  as a submodule of the dual of  $\dot{\mathbf{U}}_A$  defined in terms of  $\dot{\mathbf{B}}$ . We also study some basis properties of  $\mathbf{O}_A$ .

For any  $A$ -module  $V$  we set  $V^\diamond = \text{Hom}_A(V, A)$ . For any  $a \in \dot{\mathbf{B}}$  we define a linear form  $a^* : \dot{\mathbf{U}}_A \rightarrow A$  by  $a' \mapsto \delta_{a,a'}$  for all  $a' \in \dot{\mathbf{B}}$ . Let  $\mathbf{O}_A$  be the  $A$ -submodule of  $\dot{\mathbf{U}}_A^\diamond$  spanned by  $\{a^*; a \in \dot{\mathbf{B}}\}$ . Thus  $\{a^*; a \in \dot{\mathbf{B}}\}$  is an  $A$ -basis of  $\mathbf{O}_A$ . We define an  $A$ -algebra structure on  $\mathbf{O}_A$  by the rule  $a^* b^* = \sum_{c \in \dot{\mathbf{B}}} \hat{m}_c^{a,b} c^*$  for any  $a, b$  in  $\dot{\mathbf{B}}$ . (The sum is well defined by 1.16.) This algebra structure has a unit element namely  $1_0^*$ . The  $A$ -linear map  $\delta : \mathbf{O}_A \rightarrow \mathbf{O}_A \otimes_A \mathbf{O}_A$  given by  $c^* \mapsto \sum_{(a,b) \in \dot{\mathbf{B}} \times \dot{\mathbf{B}}} m_{a,b}^c a^* \otimes b^*$  is well defined by 1.8; we call it comultiplication. Define an  $A$ -linear map (antipode)  $S : \mathbf{O}_A \rightarrow \mathbf{O}_A$  by  $S(a^*) = s_a \underline{a}^*$  (see 1.5) for  $a \in \dot{\mathbf{B}}$ . Using 1.5(i)-(vi), 1.5(ix)-(xii) we see that  $(\mathbf{O}_A, \delta, S)$  is a Hopf algebra over  $A$  with 1 whose counit  $\mathbf{O}_A \rightarrow A$  is given by  $a^* \mapsto 1$  if  $a = 1_\lambda$  for some  $\lambda \in X$  and  $a^* \mapsto 0$  if  $a \in \dot{\mathbf{B}}$  is not of the form  $1_\lambda, \lambda \in X$ . From the definitions it is clear that  $\mathbf{O}_A = A \otimes_A \mathbf{O}_A$  as a Hopf algebra. Define an  $A$ -linear involution  $\omega : \mathbf{O}_A \rightarrow \mathbf{O}_A$  by  $\omega(a^*) = e_a a^{!*}$  (see 1.5) for  $a \in \dot{\mathbf{B}}$ . This is an isomorphism of the algebra  $\mathbf{O}_A$  onto the algebra  $\mathbf{O}_A$  with the opposite multiplication (see 1.5(viii)) preserving 1; moreover it is compatible with the comultiplication of  $\mathbf{O}_A$  (see 1.5(vii)).

**3.2.** We can reformulate 1.15(a) as follows.

(a) Let  $c \in \dot{\mathbf{B}}$ . Assume that  $\lambda, \lambda', \lambda_1, \lambda_2, \lambda'_1, \lambda'_2$  in  $X^+$  are such that  $\lambda = \lambda_1 + \lambda_2$ ,  $\lambda' = \lambda'_1 + \lambda'_2$ ,  $c \in \dot{\mathbf{B}}_{\lambda, \lambda'}$ . There exists a function  $h : \dot{\mathbf{B}}_{\lambda_1, \lambda'_1} \times \dot{\mathbf{B}}_{\lambda_2, \lambda'_2} \rightarrow \mathcal{A}$  such that  $\sum_{a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} h(a, b) a^* b^* = c^*$  in  $\mathbf{O}_A$ .

Here  $a^* b^*$  is product in  $\mathbf{O}_A$ .

**Proposition 3.3.** *The  $A$ -algebra  $\mathbf{O}_A$  is finitely generated.*

We choose  $\lambda^1, \lambda^2, \dots, \lambda^r$  in  $X^+ - \{0\}$  such that  $X^+ = \mathbf{N}\lambda^1 + \mathbf{N}\lambda^2 + \dots + \mathbf{N}\lambda^r$ . Let  $\Gamma^+ = \cup_{j \in [1, r]} \dot{\mathbf{B}}_{\lambda^j, 0}$ ,  $\Gamma^- = \cup_{j \in [1, r]} \dot{\mathbf{B}}_{0, \lambda^j}$ ,  $\Gamma = \Gamma^+ \cup \Gamma^-$ . We show:

(a) *The (finite) set  $\{b^*; b \in \Gamma\}$  generates the  $A$ -algebra  $\mathbf{O}_A$ .*

We can assume that  $A = \mathcal{A}$ . For any  $c \in \dot{\mathbf{B}}$  let  $N = N_c$  be the smallest integer  $\geq 0$  such that there exist  $(n_1, n_2, \dots, n_r) \in \mathbf{N}^r$ ,  $(n'_1, n'_2, \dots, n'_r) \in \mathbf{N}^r$  with

(b)  $c \in \dot{\mathbf{B}}_{\lambda, \lambda'}$  where  $\lambda = n_1\lambda^1 + n_2\lambda^2 + \dots + n_r\lambda^r$ ,  $\lambda' = n'_1\lambda^1 + n'_2\lambda^2 + \dots + n'_r\lambda^r$  and  $\sum_j n_j + \sum_j n'_j = N$ .

Clearly  $N_c$  is well defined. Let  $\mathfrak{F}$  be the  $\mathcal{A}$ -subalgebra (with 1) of  $\mathbf{O}_A$  generated by  $\Gamma$ . It is enough to show that  $c^* \in \mathfrak{F}$  for any  $c \in \dot{\mathbf{B}}$ . We shall prove this by induction on  $N_c$ . If  $N_c = 0$  then  $c \in \dot{\mathbf{B}}_{0, 0}$  hence  $c = 1_0$  and  $c^*$  is the unit element of  $\mathbf{O}_A$ . If  $N_c = 1$  then  $c \in \Gamma$  and  $c^* \in \mathfrak{F}$ . Assume now that  $N_c = N \geq 2$  and that the result is known for any  $c' \in \dot{\mathbf{B}}$  with  $N_{c'} < N$ . We can find  $\lambda, \lambda'$  in  $X^+$  and  $(n_j) \in \mathbf{N}^r, (n'_j) \in \mathbf{N}^r$  so that (b) holds. We can find  $\lambda'_1, \lambda'_2, \lambda_2, \lambda'_2$  in  $X^+$  such that  $\lambda_1 + \lambda_2 = \lambda$ ,  $\lambda'_1 + \lambda'_2 = \lambda'$  and either  $(\lambda_1, \lambda'_1) = (\lambda^j, 0)$  for some  $j$  or  $(\lambda_1, \lambda'_1) = (0, \lambda^j)$  for some  $j$ . For any  $a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}$  we have  $N_a \leq 1$ ; for any  $b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}$  we have  $N_b \leq N - 1$ . We can write  $c^* = \sum_{a \in \dot{\mathbf{B}}_{\lambda_1, \lambda'_1}, b \in \dot{\mathbf{B}}_{\lambda_2, \lambda'_2}} h(a, b) a^* b^*$  as in 3.2(a). By the induction hypothesis, for each  $(a, b)$  in the sum we have  $a^* \in \mathfrak{F}$ ,  $b^* \in \mathfrak{F}$ ; hence  $c^* \in \mathfrak{F}$ . This completes the inductive proof of (a). The proposition is proved.

**3.4.** Let  $\lambda \in X^+$ . The sets  $\dot{\mathbf{B}}_{\lambda, 0}, \dot{\mathbf{B}}_{0, \lambda}$  which enter in the definition of  $\Gamma$  in 3.3 can be described as follows:

$$(a) \quad \dot{\mathbf{B}}_{\lambda, 0} = \{b^+ 1_{-\lambda}; b \in \mathbf{B}'_{\lambda}\}, \quad \dot{\mathbf{B}}_{0, \lambda} = \{b^- 1_{\lambda}; b \in \mathbf{B}_{\lambda}\}.$$

We only prove the first of these equalities; the proof of the other equality is similar. For  $b \in \mathbf{B}'_{\lambda}$  we have  $b^+ 1_{-\lambda}(\xi_{-\lambda} \otimes \eta_0) = (b^+ 1_{-\lambda} \xi_{-\lambda}) \otimes \eta_0 \neq 0$ . Since  $b^+ 1_{-\lambda} \in \dot{\mathbf{B}}$ , it follows that  $b^+ 1_{-\lambda} \in \dot{\mathbf{B}}_{\lambda, 0}$ . Thus  $\{b^+ 1_{-\lambda}; b \in \mathbf{B}'_{\lambda}\} \subset \dot{\mathbf{B}}_{\lambda, 0}$ . Since this is an inclusion of finite sets with the same cardinal, it is an equality, as required.

We see that

(b) *the number of generators of the  $A$ -algebra  $\mathbf{O}_A$  given by the proof of 3.3 is at most  $2 \sum_{s=1}^r \dim \Lambda_{\lambda^s}$ .*

**3.5.** For any  $a \in \dot{\mathbf{B}}^+$  (resp.  $a \in \dot{\mathbf{B}}^-$ ) the restriction of the linear form  $a^* : \dot{\mathbf{U}}_A \rightarrow A$  to  $\dot{\mathbf{U}}_A^+$  (resp.  $\dot{\mathbf{U}}_A^-$ ) is denoted again by  $a^*$ . Let  $\mathbf{O}_A^+$  (resp.  $\mathbf{O}_A^-$ ) be the  $A$ -submodule of  $\dot{\mathbf{U}}_A^{+\diamond}$  (resp.  $\dot{\mathbf{U}}_A^{-\diamond}$ ) spanned by  $\{a^*; a \in \dot{\mathbf{B}}^+\}$  (resp.  $\{a^*; a \in \dot{\mathbf{B}}^-\}$ ). Note that  $\{a^*; a \in \dot{\mathbf{B}}^+\}$  (resp.  $\{a^*; a \in \dot{\mathbf{B}}^-\}$ ) is an  $A$ -basis of  $\mathbf{O}_A^+$  (resp.  $\mathbf{O}_A^-$ ). We define an  $A$ -algebra structure on  $\mathbf{O}_A^{\pm}$  by the rule  $a^* b^* = \sum_{c \in \dot{\mathbf{B}}^{\pm}} \hat{m}_c^{a, b} c^*$  for any  $a, b$  in  $\dot{\mathbf{B}}^{\pm}$ . (The sum is well defined by 1.16.) The (surjective)  $A$ -linear map  $\pi^+ : \mathbf{O}_A \rightarrow \mathbf{O}_A^+$  given by  $a^* \mapsto a^*$  (if  $a \in \dot{\mathbf{B}}^+$ ),  $a^* \mapsto 0$  (if  $a \in \dot{\mathbf{B}} - \dot{\mathbf{B}}^+$ ), respects the algebra structures. (If  $c \in \dot{\mathbf{B}}^+$ ,  $a \in \dot{\mathbf{B}}$ ,  $b \in \dot{\mathbf{B}}$ ,  $\hat{m}_c^{a, b} \neq 0$  then  $a \in \dot{\mathbf{B}}^+$ ,

$b \in \dot{\mathbf{B}}^+$ ). Similarly, the (surjective)  $A$ -linear map  $\pi^- : \mathbf{O}_A \rightarrow \mathbf{O}_A^-$  given by  $a^* \mapsto a^*$  (if  $a \in \dot{\mathbf{B}}^-$ ),  $a^* \mapsto 0$  (if  $a \in \dot{\mathbf{B}} - \dot{\mathbf{B}}^-$ ), respects the algebra structures. It follows that the algebras  $\mathbf{O}_A^+$ ,  $\mathbf{O}_A^-$  are associative with 1. Define an  $A$ -linear map  $\delta^\pm : \mathbf{O}_A^\pm \rightarrow \mathbf{O}_A^\pm \otimes_A \mathbf{O}_A^\pm$  by

$$\delta^\pm(c^*) = \sum_{(a,b) \in \dot{\mathbf{B}}^\pm \times \dot{\mathbf{B}}^\pm} m_{a,b}^c a^* \otimes b^*$$

for any  $c \in \dot{\mathbf{B}}^\pm$ . The sum is well defined by 1.8. Note that  $\pi^\pm$  is compatible with  $\delta, \delta^\pm$ . Define an  $A$ -linear map  $S^\pm : \mathbf{O}_A^\pm \rightarrow \mathbf{O}_A^\pm$  by  $S^\pm(a^*) = s_a \underline{a}^*$  for  $a \in \dot{\mathbf{B}}^\pm$ . (We then have  $\underline{a} \in \dot{\mathbf{B}}^\pm$ .) Note that  $(\mathbf{O}_A^\pm, \delta^\pm, S^\pm)$  is a Hopf algebra over  $A$  with 1 and with counit  $a^* \mapsto 1$  if  $a = 1_\lambda$  for some  $\lambda \in X$  and  $a^* \mapsto 0$  if  $a \in \dot{\mathbf{B}}^\pm$  is not of the form  $1_\lambda, \lambda \in X$ . From the definitions we have  $\mathbf{O}_A^\pm = A \otimes_A \mathbf{O}_A^\pm$  as Hopf algebras. Define an  $A$ -linear isomorphism  $\omega : \mathbf{O}_A^+ \xrightarrow{\sim} \mathbf{O}_A^-$  by  $\omega(a^*) = a'^*$  (see 1.5) for  $a \in \dot{\mathbf{B}}^+$ . (Recall that  $e_a = 1$ .) This is an isomorphism of the algebra  $\mathbf{O}_A^+$  onto the algebra  $\mathbf{O}_A^-$  with opposed multiplication.

**3.6.** Let  $\iota : \mathbf{O}_A \rightarrow \mathbf{O}_A^- \otimes_A \mathbf{O}_A^+$  be the  $A$ -linear map given by the composition  $\mathbf{O}_A \xrightarrow{\delta} \mathbf{O}_A \otimes_A \mathbf{O}_A \xrightarrow{\pi^- \otimes_A \pi^+} \mathbf{O}_A^- \otimes_A \mathbf{O}_A^+$ . (Note that  $\iota$  is an  $A$ -algebra homomorphism.)

**Lemma 3.7.** *The  $A$ -linear map  $\iota$  is a split injection.*

For  $\lambda \in X^+$  let  $Z_\lambda$  be the set of pairs  $(a, b) \in \dot{\mathbf{B}}^- \times \dot{\mathbf{B}}^+$  such that  $a \in \dot{\mathbf{B}}[\lambda], b \in \dot{\mathbf{B}}[\lambda], a1_\lambda = a, 1_\lambda b = b$ . By [L2, 4.4] for any  $(a, b) \in Z_\lambda$  there is a unique  $c \in \dot{\mathbf{B}}[\lambda]$  such that  $m_{a,b}^c = \delta_{c,c'}$  for any  $c' \in \dot{\mathbf{B}}[\lambda]$ ; moreover  $(a, b) \mapsto c$  is a bijection  $\psi_\lambda : Z_\lambda \xrightarrow{\sim} \dot{\mathbf{B}}[\lambda]$ . Let  $Z = \sqcup_{\lambda \in X^+} Z_\lambda$ . Then  $\psi := \sqcup_{\lambda} \psi_\lambda : Z \rightarrow \dot{\mathbf{B}}$  is a bijection.

Let  $\underline{Z}$  be the  $A$ -submodule of  $\mathbf{O}_A^- \otimes_A \mathbf{O}_A^+$  spanned by  $\{a^* \otimes b^*; (a, b) \in Z\}$ . Define an  $A$ -linear map  $\rho : \mathbf{O}_A^- \otimes_A \mathbf{O}_A^+ \rightarrow \underline{Z}$  by sending a basis element  $a^* \otimes b^*$  to itself if  $(a, b) \in Z$  and to 0 otherwise. It is enough to show that  $\rho\iota$  is an isomorphism of  $A$ -modules.

Let  $c \in \dot{\mathbf{B}}[\lambda], \lambda \in X^+$ . By definition we have  $r\iota(c^*) = \sum_{(a,b) \in Z} m_{a,b}^c a^* \otimes b^*$ . If  $(a, b) \in Z_{\lambda'}, \lambda' \in X^+$  and  $m_{a,b}^c \neq 0$  then as in the proof of 1.8 we have  $\lambda' \leq \lambda$ . Thus

$$\rho\iota(c^*) = a_0^* \otimes b_0^* + \sum_{\lambda' \in X^+; \lambda' < \lambda} \sum_{(a,b) \in Z_{\lambda'}} m_{a,b}^c a^* \otimes b^*$$

where  $(a_0, b_0) = \psi_\lambda^{-1}(c) \in Z_\lambda$ . We identify  $\underline{Z}$  with  $\mathbf{O}_A$  as  $A$ -modules via the bijection  $a^* \otimes b^* \mapsto c^*$  (with  $c = \psi(a, b)$ ) between the bases of  $\underline{Z}, \mathbf{O}_A$ . Then we have

$$\rho\iota(c^*) = c^* + \sum_{\lambda' \in X^+; \lambda' < \lambda} \sum_{c' \in \dot{\mathbf{B}}[\lambda']} x_{c',c} c'^*$$

where  $x_{c',c} \in A$ . We see that  $\rho\iota$  is represented by a square upper triangular matrix with entries in  $A$  and with 1 on diagonal. It follows that  $\rho\iota$  is an isomorphism. The lemma is proved.

**3.8.** In the rest of this section we assume that  $v = 1$  in  $A$ .

For  $a, b \in \mathbf{B}$  we have  $ab = \sum_{c \in \mathbf{B}} \mu_{a,b}^c c$  (in  $\mathbf{f}_A$ ) where  $\mu_{a,b}^c \in A$  are zero for all but finitely many  $c$ . In the case where  $A = \mathcal{A}$  we define a homomorphism of  $\mathcal{A}$ -algebras  $\underline{r} : \mathbf{f}_A \rightarrow \mathbf{f}_A \otimes_A \mathbf{f}_A$  as the restriction of the homomorphism  $r : \mathbf{f} \rightarrow \mathbf{f} \otimes \mathbf{f}$  given in [L1, 1.2.6]. For general  $A$  we define a homomorphism of  $A$ -algebras  $\underline{r} : \mathbf{f}_A \rightarrow \mathbf{f}_A \otimes_A \mathbf{f}_A$  by applying  $A \otimes_A ?$  to the homomorphism  $r : \mathbf{f}_A \rightarrow \mathbf{f}_A \otimes_A \mathbf{f}_A$ . For any  $a, b, c$  in  $\mathbf{B}$  we define  $\hat{\mu}_c^{a,b} \in A$  by the following requirement: for any  $c \in \mathbf{B}$  we have  $\underline{r}(c) = \sum_{a,b \in \mathbf{B}} \hat{\mu}_c^{a,b} a \otimes b$  (in the last sum  $\hat{\mu}_c^{a,b}$  is 0 for all but finitely many  $(a, b)$ ). We define an  $A$ -algebra homomorphism  $S$  from  $\mathbf{f}_A$  to  $\mathbf{f}_A$  with the opposite multiplication by  $S(\theta_i^{(m)}) = (-1)^m \theta_i^{(m)}$  for all  $i \in I, m \in \mathbf{N}$ . For any  $a \in \mathbf{B}$  we have  $S(a) = q_a \tilde{a}$  where  $a \mapsto \tilde{a}$  is an involution of  $\mathbf{B}$  and  $q_a = \pm 1$ . Note that the quantities  $\mu_{a,b}^c, \hat{\mu}_c^{a,b}, q_a$  (in  $A$ ) are the images of the corresponding quantities in  $\mathcal{A}$  under the given homomorphism  $\mathcal{A} \rightarrow A$ . Now  $(\mathbf{f}_A, \underline{r}, S)$  is a Hopf algebra over  $A$  with 1 whose counit  $\mathbf{f}_A \rightarrow A$  is given by  $a \mapsto \delta_{a,1}$  for  $a \in \mathbf{B}$ . For any  $a \in \mathbf{B}$  let  $a^* : \mathbf{f}_A \rightarrow A$  be the  $A$ -linear form given by  $a^*(b) = \delta_{a,b}$  for  $b \in \mathbf{B}$ . Let  $\mathbf{o}_A$  be the  $A$ -submodule of  $\mathbf{f}_A^\circ$  spanned by  $\{a^*; a \in \mathbf{B}\}$ . Note that  $\{a^*; a \in \mathbf{B}^+\}$  is an  $A$ -basis of  $\mathbf{o}_A$ . We define an  $A$ -algebra structure on  $\mathbf{o}_A$  by the rule  $a^* b^* = \sum_{c \in \mathbf{B}} \hat{\mu}_c^{a,b} c^*$  for any  $a, b$  in  $\mathbf{B}^\pm$ . (The sum is well defined by homogeneity reasons.) This algebra structure is associative with unit element  $1^*$ . Define an  $A$ -linear map (comultiplication)  $\delta_0 : \mathbf{o}_A \rightarrow \mathbf{o}_A \otimes_A \mathbf{o}_A$  by

$$\delta_0(c^*) = \sum_{(a,b) \in \mathbf{B} \times \mathbf{B}} \mu_{a,b}^c a^* \otimes b^*$$

for any  $c \in \mathbf{B}$ . (The sum is well defined by homogeneity reasons.) Define an  $A$ -linear map  $S : \mathbf{o}_A \rightarrow \mathbf{o}_A$  (antipode) by  $S(a^*) = q_a \tilde{a}^*$  for  $a \in \mathbf{B}$ . Note that  $(\mathbf{o}_A, \delta_0, S)$  is a Hopf algebra over  $A$  with 1 and with counit  $a^* \mapsto \delta_{a,1}$ . From the definitions we have  $\mathbf{o}_A = A \otimes_A \mathbf{o}_A$  as Hopf algebras. Define a (surjective)  $A$ -linear map  $\pi^{>0} : \mathbf{o}_A \rightarrow \mathbf{o}_A$  by  $a^* \mapsto b^*$  if  $a = b^+ 1_\lambda$  for some  $b \in \mathbf{B}, \lambda \in X$  and  $a^* \mapsto 0$  if  $a \in \mathbf{B}$  is not of the form above. Define a (surjective)  $A$ -linear map  $\pi^{<0} : \mathbf{o}_A \rightarrow \mathbf{o}_A$  by  $a^* \mapsto b^*$  if  $a = b^- 1_\lambda$  for some  $b \in \mathbf{B}, \lambda \in X$  and  $a^* \mapsto 0$  if  $a \in \mathbf{B}$  is not of the form above. Note that  $\pi^{>0}$  and  $\pi^{<0}$  respect the  $A$ -algebra structures and the unit elements. They are also compatible with  $\delta, \delta_0$ .

**3.9.** Let  $A[X]$  be the group algebra of  $X$  with coefficients in  $A$ . Define a (surjective)  $A$ -linear map  $\pi^0 : \mathbf{o}_A \rightarrow A[X]$  by  $a^* \mapsto 1_\lambda$  if  $a = 1_\lambda$  for some  $\lambda \in X$  and  $a^* \mapsto 0$  if  $a \in \mathbf{B}$  is not of this form. Note that  $\pi^0$  respects the  $A$ -algebra structures and the unit elements.

Let  $\iota' : \mathbf{o}_A \rightarrow \mathbf{o}_A \otimes_A A[X] \otimes_A \mathbf{o}_A$  be the  $A$ -linear map given by the composition  $\mathbf{o}_A \xrightarrow{(\delta \otimes 1)\delta} \mathbf{o}_A \otimes_A \mathbf{o}_A \otimes_A \mathbf{o}_A \xrightarrow{\pi^{<0} \otimes_A \pi^0 \otimes_A \pi^{>0}} \mathbf{o}_A \otimes_A A[X] \otimes_A \mathbf{o}_A$ . (Note that  $\iota'$  is an  $A$ -algebra homomorphism.) We have the following variant of Lemma 3.7:

**Lemma 3.10.** *The  $A$ -linear map  $\iota'$  is a split injection. (Recall that  $v = 1$  in  $A$ .)*

Let  $Z_\lambda, \psi_\lambda, Z, \psi$  be as in 3.7. Let  $\underline{Z}'$  be the  $A$ -submodule of  $\mathfrak{o}_A \otimes_A A[X] \otimes_A \mathfrak{o}_A$  spanned by  $\{a_1^* \otimes \lambda \otimes b_1^*; (a_1^- 1_\lambda, 1_\lambda b_1^+) \in Z\}$ . Define an  $A$ -linear map

$$\rho' : \mathfrak{o}_A \otimes_A A[X] \otimes_A \mathfrak{o}_A \rightarrow \underline{Z}'$$

by sending a basis element  $a_1^* \otimes \lambda \otimes b_1^*$  to itself if  $(a_1^- 1_\lambda, 1_\lambda b_1^+) \in Z$  and to 0 otherwise. It is enough to show that  $\rho' \iota'$  is an isomorphism of  $A$ -modules. Let  $c \in \dot{\mathbf{B}}[\lambda]$ ,  $\lambda \in X^+$ . By definition we have

$$\rho' \iota'(c^*) = \sum_{\lambda' \in X} \sum_{(a,b) \in Z_{\lambda'}} m_{a,b}^c a_1^* \otimes \lambda' \otimes b_1^*$$

where  $a_1, b_1 \in \mathbf{B}$  are defined in terms of  $a, b$  by  $a = a_1^- 1_\lambda$ ,  $b = 1_\lambda b_1^+$ . If  $(a, b) \in Z_{\lambda'}$ ,  $\lambda' \in X^+$  and  $m_{a,b}^c \neq 0$  then as in the proof of 1.8 we have  $\lambda' \leq \lambda$ . Thus

$$\rho' \iota'(c^*) = a_0^* \otimes \lambda \otimes b_0^* + \sum_{\lambda' \in X^+; \lambda' < \lambda} \sum_{(a,b) \in Z_{\lambda'}} m_{a,b}^c a_1^* \otimes \lambda' \otimes b_1^*$$

where  $a_0, b_0 \in \mathbf{B}$  are given by  $(a_0^- 1_\lambda, 1_\lambda b_0^+) = \psi_\lambda^{-1}(c) \in Z_\lambda$  and  $a_1, b_1$  are as above. We identify  $\underline{Z}'$  with  $\mathbf{O}_A$  as  $A$ -modules via the bijection  $a_1^* \otimes \lambda \otimes b_1^* \mapsto c^*$  (with  $c = \psi(a_1^- 1_\lambda, 1_\lambda b_1^+)$ ) between the bases of  $\underline{Z}'$ ,  $\mathbf{O}_A$ . Then we have

$$\rho' \iota'(c^*) = c^* + \sum_{\lambda' \in X^+; \lambda' < \lambda} \sum_{c' \in \dot{\mathbf{B}}[\lambda']} x'_{c',c} c'^*$$

where  $x'_{c',c} \in A$ . We see that  $\rho' \iota'$  is represented by a square upper triangular matrix with entries in  $A$  and with 1 on diagonal. It follows that  $\rho' \iota'$  is an isomorphism. The lemma is proved.

**3.11.** Let  $\{x_{\mathbf{c}}; \mathbf{c} \in \mathbf{N}^n\}$  be the  $A$ -basis of  $\mathbf{f}_A$  defined in 2.8. For any  $\mathbf{c} \in \mathbf{N}^n$  we define an  $A$ -linear function  $\xi_{\mathbf{c}} : \mathbf{f}_A \rightarrow A$  by  $\xi_{\mathbf{c}}(x_{\mathbf{c}'}) = \delta_{\mathbf{c}, \mathbf{c}'}$  for any  $\mathbf{c}' \in \mathbf{N}^n \times X$ .

**Lemma 3.12.** (a)  $\{\xi_{\mathbf{c}}; \mathbf{c} \in \mathbf{N}^n\}$  is an  $A$ -basis of  $\mathfrak{o}_A$ .

(b) If  $\mathbf{c}', \mathbf{c}'' \in \mathbf{N}^n$ , then  $\xi_{\mathbf{c}'} \xi_{\mathbf{c}''} = \xi_{\mathbf{c}' + \mathbf{c}''}$ , product in  $\mathfrak{o}_A$ .

Note that each summand  $\mathbf{f}_{\nu, A}$  of  $\mathbf{f}_A$  is a free  $A$ -module of finite rank with basis given by its intersection with  $\mathbf{B}$ ; this  $A$ -module also has as a basis its intersection with the  $A$ -basis  $\{x_{\mathbf{c}}; \mathbf{c} \in \mathbf{N}^n\}$  of  $\mathbf{f}_A$ . It follows that  $\{\xi_{\mathbf{c}}; \mathbf{c} \in \mathbf{N}^n\}$  and  $\{b^*; b \in \mathbf{B}\}$  span the same  $A$ -submodule of  $\mathbf{f}_A^\diamond$  namely the set of  $A$ -linear functions  $\mathbf{f}_A \rightarrow A$  which vanish on  $\mathbf{f}_{\nu, A}$  for all but finitely many  $\nu$ . This proves (a).

We prove (b). For any  $\mathbf{c} \in \mathbf{N}^n$  we can write uniquely  $x_{\mathbf{c}} = \sum_{b \in \mathbf{B}} h_{\mathbf{c}, b} b$  where  $h_{\mathbf{c}, b} \in A$  are zero for all but finitely many  $b$ ; for any  $b \in \mathbf{B}$  we can write uniquely  $b = \sum_{\mathbf{c} \in \mathbf{N}^n} \tilde{h}_{b, \mathbf{c}} x_{\mathbf{c}}$  where  $\tilde{h}_{b, \mathbf{c}} \in A$  are zero for all but finitely many  $\mathbf{c}$ . We then have  $\xi_{\mathbf{c}} = \sum_{b \in \mathbf{B}} \tilde{h}_{b, \mathbf{c}} b^*$  and  $b^* = \sum_{\mathbf{c} \in \mathbf{N}^n} h_{\mathbf{c}, b} \xi_{\mathbf{c}}$ . We have

$$\xi_{\mathbf{c}'} \xi_{\mathbf{c}''} = \left( \sum_{b' \in \mathbf{B}} \tilde{h}_{b', \mathbf{c}'} b'^* \right) \left( \sum_{b'' \in \mathbf{B}} \tilde{h}_{b'', \mathbf{c}''} b''^* \right) = \sum_{a, b', b'' \in \mathbf{B}} \tilde{h}_{b', \mathbf{c}'} \tilde{h}_{b'', \mathbf{c}''} \hat{\mu}_a^{b', b''} a^*$$

hence (b) is equivalent to the set of equalities

$$(c) \quad \sum_{b', b'' \in \mathbf{B}} \tilde{h}_{b', \mathbf{c}'} \tilde{h}_{b'', \mathbf{c}''} \hat{\mu}_a^{b', b''} = \tilde{h}_{a, \mathbf{c}' + \mathbf{c}''}.$$

for any  $\mathbf{c}', \mathbf{c}'', a$ . The equality in 2.8(a) can be rewritten as

$$\underline{r}(x_{\mathbf{c}}) = \sum_{\mathbf{c}', \mathbf{c}'' \in \mathbf{N}; \mathbf{c}' + \mathbf{c}'' = \mathbf{c}} x_{\mathbf{c}'} \otimes x_{\mathbf{c}''}$$

hence as

$$\sum_{a', a'', b \in \mathbf{B}} h_{\mathbf{c}, b} \hat{\mu}_b^{a', a''} a' \otimes a'' = \sum_{a', a'' \in \mathbf{B}, \mathbf{c}', \mathbf{c}'' \in \mathbf{N}^n; \mathbf{c}' + \mathbf{c}'' = \mathbf{c}} h_{\mathbf{c}', a'} h_{\mathbf{c}'', a''} a' \otimes a''.$$

Thus,

$$\sum_{b \in \mathbf{B}} h_{\mathbf{c}, b} \hat{\mu}_b^{a', a''} = \sum_{\mathbf{c}', \mathbf{c}'' \in \mathbf{N}^n; \mathbf{c}' + \mathbf{c}'' = \mathbf{c}} h_{\mathbf{c}', a'} h_{\mathbf{c}'', a''}$$

for any  $a', a'' \in \mathbf{B}, \mathbf{c} \in \mathbf{N}^n$ . We multiply both sides by  $\tilde{h}_{\mathbf{c}, \mathbf{c}} \tilde{h}_{a', \mathbf{c}_1'} \tilde{h}_{a'', \mathbf{c}_1''}$  and sum over all  $\mathbf{c}, a', a''$ . We obtain the equalities (c). This proves (b). The lemma is proved.

**Lemma 3.13.** *Assume that  $v = 1$  in  $A$ . Recall that  $n = l(w_0)$ . Let  $A[t_1, t_2, \dots, t_n]$  be the algebra of polynomials with coefficients in  $A$  in the indeterminates  $t_1, t_2, \dots, t_n$ . Define an  $A$ -linear map  $\kappa : \mathbf{O}_A \rightarrow A[t_1, t_2, \dots, t_n]$  by  $\xi_{\mathbf{c}} \mapsto t_1^{c_1} t_2^{c_2} \dots t_n^{c_n}$  for any  $\mathbf{c} = (c_1, c_2, \dots, c_n) \in \mathbf{N}^n$ . Then  $\kappa$  is an  $A$ -algebra isomorphism.*

$\kappa$  is an  $A$ -linear isomorphism by 3.12(a). It is compatible with the algebra structures by 3.12(b). The lemma is proved.

**3.14.** Define  $\tilde{\iota}' : \mathbf{O}_A \rightarrow A[t_1, t_2, \dots, t_n] \otimes_A A[X] \otimes_A A[t_1, t_2, \dots, t_n]$  as the composition  $(\kappa \otimes 1 \otimes \kappa)\iota'$  where  $\iota' : \mathbf{O}_A \rightarrow \mathbf{O}_A \otimes_A A[X] \otimes_A \mathbf{O}_A$  is as in 3.9.

**Theorem 3.15.** *Recall the assumption that  $v = 1$  in  $A$ . The  $A$ -linear map  $\tilde{\iota}'$  is a split injection. It is also an imbedding of  $A$ -algebras with 1. Thus, the  $A$ -algebra  $\mathbf{O}_A$  is isomorphic to a finitely generated  $A$ -subalgebra (with 1) of  $A[t_1, t_2, \dots, t_n] \otimes_A A[X] \otimes_A A[t_1, t_2, \dots, t_n]$ . In particular, if  $A$  is an integral domain then  $\mathbf{O}_A$  is an integral domain.*

The fact that  $\tilde{\iota}'$  is a split injection follows from 3.10 and the fact that  $\kappa \otimes 1 \otimes \kappa$  is an isomorphism of  $A$ -modules. The fact that  $\tilde{\iota}'$  is an algebra homomorphism follows from the fact that  $\iota'$  is an algebra homomorphism and that  $\kappa$  is an algebra isomorphism. The fact that the algebra  $\mathbf{O}_A$  is finitely generated follows from 3.3. If  $A$  is an integral domain then  $A[t_1, t_2, \dots, t_n] \otimes_A A[X] \otimes_A A[t_1, t_2, \dots, t_n]$  is an integral domain hence so is  $\mathbf{O}_A$ . The theorem is proved.

4. THE GROUP  $G_A$ 

**4.1.** In this section we assume that  $v = 1$  in  $A$ .

In analogy with [Ko], we define  $G_A$  as the set of  $A$ -algebra homomorphisms  $\mathbf{O}_A \rightarrow A$  which take 1 to 1. Since  $\mathbf{O}_A$  is a Hopf algebra over  $A$  with 1 and with counit,  $G_A$  has a natural group structure. More explicitly,  $G_A$  is the set of all  $A$ -linear functions  $\phi : \mathbf{O}_A \rightarrow A$  such that  $\phi(a^*b^*) = \phi(a^*)\phi(b^*)$  for all  $a, b \in \dot{\mathbf{B}}$  and such that  $\phi(1_0^*) = 1$ . By the correspondence  $\phi \mapsto \sum_{a \in \dot{\mathbf{B}}} \phi(a^*)a$  we identify  $G_A$  with the subset of  $\hat{\mathbf{U}}_A$  defined as

$$\left\{ \sum_{a \in \dot{\mathbf{B}}} n_a a \in \hat{\mathbf{U}}_A; n_{1_0} = 1, \sum_{c \in \dot{\mathbf{B}}} \hat{m}_c^{a,b} n_c = n_a n_b \text{ for all } a, b \in \dot{\mathbf{B}} \right\}$$

or equivalently as  $\{\xi \in \hat{\mathbf{U}}_A; \hat{D}(\xi) = \xi \otimes \xi, \epsilon(\xi) = 1\}$ . Note that  $G_A$  is closed under the multiplication in the algebra  $\hat{\mathbf{U}}_A$ . Thus the multiplication in  $\hat{\mathbf{U}}_A$  induces an associative monoid structure on  $G_A$ . Since the unit element 1 of  $\hat{\mathbf{U}}_A$  satisfies  $\hat{D}(1) = 1 \otimes 1$ ,  $\epsilon(1) = 1$ , it belongs to  $G_A$  and plays the role of a unit element for the monoid structure of  $G_A$ . If  $\xi \in G_A$ , we have  $\hat{D}(S(\xi)) = S^{(2)}(\hat{D}(\xi)) = S^{(2)}(\xi \otimes \xi) = S(\xi) \otimes S(\xi)$  and  $\epsilon(S(\xi)) = \epsilon(\xi) = 1$ . Thus  $S(\xi) \in G_A$ . From 1.5(xi) we have  $\mathbf{m}(S^{(0,1)}(\hat{D}(\xi))) = \xi$ . In our case this can be written as  $\mathbf{m}(S^{(0,1)}(\xi \otimes \xi)) = \xi$  that is,  $\mathbf{m}(\xi \otimes S(\xi)) = \xi$  and  $\xi S(\xi) = \xi$ . We see that any element of  $G_A$  has a right inverse in the monoid  $G_A$ . Hence any element of  $G_A$  has a left inverse in the monoid  $G_A$ . Thus  $G_A$  is a group (a subgroup of the group of invertible elements of the algebra  $\hat{\mathbf{U}}_A$ ). From the definitions we see that the algebra involution  $\omega : \hat{\mathbf{U}}_A \rightarrow \hat{\mathbf{U}}_A$  restricts to an involution  $\omega : G_A \rightarrow G_A$  which is a group isomorphism.

**4.2.** Let  $\hat{\mathbf{U}}_A^{>0}$  (resp.  $\hat{\mathbf{U}}_A^{<0}$ ) be the  $A$ -submodule of  $\hat{\mathbf{U}}_A$  consisting of all elements of the form  $\sum_{b \in \mathbf{B}, \lambda \in X} n_b(1_\lambda b^+)$  (resp.  $\sum_{b \in \mathbf{B}, \lambda \in X} n_b(b^- 1_\lambda)$ ) where  $n_b \in A$ . Let  $\mathfrak{G}_A$  be the set of  $A$ -algebra homomorphisms  $\mathbf{o}_A \rightarrow A$  which take 1 to 1. Since  $\mathbf{o}_A$  is a Hopf algebra over  $A$  with 1 and with counit,  $\mathfrak{G}_A$  has a natural group structure. Note that  $\mathfrak{G}_A$  is the set of  $A$ -linear maps  $\phi : \mathbf{o}_A \rightarrow A$  such that  $\phi(a^*b^*) = \phi(a^*)\phi(b^*)$  for all  $a, b \in \mathbf{B}$  and such that  $\phi(1) = 1$ . (Here  $a^*b^*$  is the product in  $\mathbf{o}_A$ .) By the correspondence  $\phi \mapsto \sum_{a \in \mathbf{B}, \lambda \in X} \phi(a^*)a^- 1_\lambda$  we identify  $\mathfrak{G}_A$  with the set of all  $\xi \in \hat{\mathbf{U}}_A^{<0}$  such that  $\hat{D}(\xi) = \xi \otimes \xi$  (in  $\hat{\mathbf{U}}_A^{(2)}$ ) and  $\epsilon(\xi) = 1$  or equivalently with  $G_A^{<0} := G_A \cap \hat{\mathbf{U}}_A^{<0}$ . Similarly, by the correspondence  $\phi \mapsto \sum_{a \in \mathbf{B}, \lambda \in X} \phi(a^*)1_\lambda a^+$  we identify  $\mathfrak{G}_A$  with the set of all  $\xi \in \hat{\mathbf{U}}_A^{>0}$  such that  $\hat{D}(\xi) = \xi \otimes \xi$  (in  $\hat{\mathbf{U}}_A^{(2)}$ ) and  $\epsilon(\xi) = 1$  or equivalently with  $G_A^{>0} := G_A \cap \hat{\mathbf{U}}_A^{>0}$ . The group structure on  $\mathfrak{G}_A$  coincides with that induced from the group structure of  $G_A$  via either one of the isomorphisms  $\mathfrak{G}_A \xrightarrow{\sim} G_A^{<0}$ ,  $\mathfrak{G}_A \xrightarrow{\sim} G_A^{>0}$ .

Let  $\hat{\mathbf{U}}_A^0$  be the set of elements of  $\hat{\mathbf{U}}_A$  of the form  $\sum_{\lambda \in X} n_\lambda 1_\lambda$  with  $n_\lambda \in A$ . Let  $T_A = \hat{\mathbf{U}}_A^0 \cap G_A$ . Thus  $T_A$  is the set of elements of  $\hat{\mathbf{U}}_A$  of the form  $\sum_{\lambda \in X} n_\lambda 1_\lambda$  with  $n_\lambda \in A^\circ$  such that  $\lambda \mapsto n_\lambda$  is a group homomorphism  $X \rightarrow A^\circ$ . Clearly,  $T_A$  is an abelian subgroup of  $G_A$ . Note that  $T_A$  can be identified with  $\text{Hom}(X, A^\circ) = A^\circ \otimes \mathbf{Z}$



$Y$ . The isomorphism between  $A^\circ \otimes Y$  and  $T_A$  associates to  $d \otimes y$  (where  $d \in A^\circ$ ,  $y \in Y$ ) the element  $\sum_{\lambda \in X} d^{(y, \lambda)} 1_\lambda$  of  $T_A$ . (Since  $d \in A^\circ$ , it can be raised to any integer power.) Note that  $T_A$  can be also identified with the set of homomorphisms of  $A$ -algebras  $A[X] \rightarrow A$  preserving 1, by  $[g = \sum_{\lambda \in X} n_\lambda 1_\lambda \in T_A] \mapsto [\lambda \mapsto n_\lambda]$ . We show:

(a) *multiplication in  $G_A$  defines an injective map  $G_A^{<0} \times T_A \times G_A^{>0} \rightarrow A$ .*

Let  $\hat{\mathbf{U}}_A^-$  be the set of elements of  $\hat{\mathbf{U}}_A$  of the form  $\sum_{a \in \mathbf{B}^-} n_a a$ . Let  $\xi_1, \xi'_1 \in G_A^{<0}$ ,  $\xi_2, \xi'_2 \in T_A$ ,  $\xi_3, \xi'_3 \in G_A^{>0}$  be such that  $\xi_1 \xi_2 \xi_3 = \xi'_1 \xi'_2 \xi'_3$  in  $G_A$ . Then  $\xi'_3 \xi_3^{-1} = \xi'_2^{-1} \xi'_1^{-1} \xi_1 \xi_2$ . The right hand side is contained in  $\hat{\mathbf{U}}_A^-$  since  $G_A^{<0}, T_A$  are contained in  $\hat{\mathbf{U}}_A^-$  and  $\hat{\mathbf{U}}_A^-$  is closed under multiplication in  $\hat{\mathbf{U}}_A$ . Thus we have  $\xi'_3 \xi_3^{-1} \in \hat{\mathbf{U}}_A^-$ . Since  $G_A^{>0} \in \hat{\mathbf{U}}_A^{>0}$  and  $\hat{\mathbf{U}}_A^{>0}$  is closed under multiplication in  $\hat{\mathbf{U}}_A$  we have also  $\xi'_3 \xi_3^{-1} \in \hat{\mathbf{U}}_A^{>0}$ . Thus  $\xi'_3 \xi_3^{-1} \in \hat{\mathbf{U}}_A^- \cap \hat{\mathbf{U}}_A^{>0}$ . From the definitions we have  $\hat{\mathbf{U}}_A^- \cap \hat{\mathbf{U}}_A^{>0} = \{1\}$ . Thus  $\xi'_3 \xi_3^{-1} = 1$  so that  $\xi'_3 = \xi_3$ . It follows that  $\xi_1 \xi_2 = \xi'_1 \xi'_2$ . Then  $x_1'^{-1} x_1 = x_2' x_2^{-1}$  belongs to both  $\hat{\mathbf{U}}_A^{<0}$  and to  $\hat{\mathbf{U}}_A^0$  which have intersection  $\{1\}$ . Thus  $x_1'^{-1} x_1 = x_2' x_2^{-1} = 1$  and (a) follows.

From the definitions we see that the involution  $\omega : G_A \rightarrow G_A$  interchanges  $G_A^{>0}$  with  $G_A^{<0}$  and its restriction to  $T_A$  is given by  $g \mapsto g^{-1}$ .

**4.3.** For any  $i \in I$ ,  $h \in A$  we have  $x_i(h) \in G_A^{>0}$ ,  $y_i(h) \in G_A^{<0}$ . (We use 1.18(a).)

For any  $w \in W$ , the elements  $w', w''$  of  $\hat{\mathbf{U}}_A$  (see 2.5, 2.6) belong to  $G_A$ . (We use 2.6(a).) We have  $w'(w^{-1})'' = 1$ , see 2.5.

Now  $W$  acts on  $T_A$  by  $w : \sum_{\lambda \in X} n_\lambda 1_\lambda \mapsto \sum_{\lambda \in X} n_{w^{-1}(\lambda)} 1_\lambda$ . This corresponds to the  $W$ -action on  $A^\circ \otimes Y$  given by  $w : d \otimes y \mapsto d \otimes w(y)$ . This  $W$  action on  $T_A$  is denoted by  $w : g \mapsto w(g)$ . We show:

(a) *If  $w \in W$ ,  $g \in T_A$  then  $w(g) = w' g w'^{-1} = w'' g w''^{-1}$ .*

Using the definition of  $w'$  we see that to prove the first equality in (a) we may assume that  $w = s_i$  for some  $i \in I$ . In this case it is enough to show that  $1_{s_i(\lambda)} = s'_i 1_\lambda (s'_i)^{-1}$  or that  $1_{s_i(\lambda)} = T'_{i,1}(1_\lambda)$  for any  $\lambda \in X$ . This follows from [L1, 41.1.2]. The proof of the second equality in (a) is similar.

**4.4.** Let  $i \in I$ ,  $u \in A^\circ$ . Let  $t_i(u) = \sum_{\lambda \in X} u^{(i, \lambda)} 1_\lambda \in T_A$ . We show:

(a)  $s''_i = s'_i t_i(-1)$  in  $G_A$ .

We have

$$s'_i t_i(-1) = \sum_{y \in \mathbf{Z}, x \in \mathbf{Z}, \lambda, \lambda' \in X; \lambda' = \lambda - y i', \langle i, \lambda \rangle = x + y} (-1)^y (-1)^{\langle i, \lambda' \rangle} \theta_i^{(x)-} 1_\lambda \theta_i^{(y)+}.$$

It is enough to show that  $(-1)^{y + \langle i, \lambda - y i' \rangle} = (-1)^x$  in the sum above. This follows from  $\langle i, i' \rangle = 2$  and  $y + \langle i, \lambda \rangle = x \pmod{2}$ .

**4.5.** Let  $i \in I$ . Let  $e, f, g \in A$  be such that  $d := eg + f^2 \in A^\circ$ ,  $f \in A^\circ$ . Define  $e', f', g' \in A$  by  $e' = ed^{-1}$ ,  $f' = fd^{-1}$ ,  $g' = gd^{-1}$ . Note that  $d' := e'g' + f'^2 = d^{-1} \in A^\circ$ ,  $f' \in A^\circ$  and  $e = e'd'^{-1}$ ,  $f = f'd'^{-1}$ ,  $g = g'd'^{-1}$ . We show:

(a)  $y_i(e) t_i(f^{-1}) x_i(g) = x_i(g') t_i(f') y_i(e')$ .

We compute the left hand side in  $\hat{\mathbf{U}}_A$ :

$$\begin{aligned}
& \sum_{\substack{c, c' \in \mathbf{N}, \\ \lambda \in X}} e^c f^{-\langle i, \lambda \rangle} g^{c'} \theta_i^{(c)-} 1_\lambda \theta_i^{(c')+} = \sum_{\substack{c, c' \in \mathbf{N}, \lambda \in X; \\ \langle i, \lambda \rangle \geq c + c'}} e^c f^{-\langle i, \lambda \rangle} g^{c'} \theta_i^{(c)-} 1_\lambda \theta_i^{(c')+} \\
& + \sum_{\substack{c, c', t \in \mathbf{N}, \lambda \in X; \\ \langle i, \lambda \rangle < c + c'}} e^c f^{-\langle i, \lambda \rangle} g^{c'} \binom{c + c' - \langle i, \lambda \rangle}{t} \theta_i^{(c'-t)+} 1_{\lambda - (c + c' - t)i'} \theta_i^{(c'-t)-} \\
& = \sum_{c, c' \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle \geq c + c'} e^c f^{-\langle i, \lambda \rangle} g^{c'} \theta_i^{(c)-} 1_\lambda \theta_i^{(c')+} \\
& + \sum_{\substack{r, r' \in \mathbf{N}, \lambda' \in X \\ \langle i, -\lambda' \rangle > r + r'}} \sum_{t \in \mathbf{N}} e^{r' + t} f^{-\langle i, \lambda' + (r + r' + t)i' \rangle} g^{r + t} \binom{-r - r' - \langle i, \lambda' \rangle}{t} \theta_i^{(r)+} 1_{\lambda'} \theta_i^{(r')-} \\
& = \sum_{c, c' \in \mathbf{N}, \lambda \in X; \langle i, \lambda \rangle \geq c + c'} e^c f^{-\langle i, \lambda \rangle} g^{c'} \theta_i^{(c)-} 1_\lambda \theta_i^{(c')+} \\
& + \sum_{\substack{r, r' \in \mathbf{N}, \lambda' \in X; \\ \langle i, -\lambda' \rangle > r + r'}} e^{r'} f^{-\langle i, \lambda' + (r + r')i' \rangle} g^r (1 + e f^{-2} g)^{-r - r' - \langle i, \lambda' \rangle} \theta_i^{(r)+} 1_{\lambda'} \theta_i^{(r')-}.
\end{aligned}$$

We compute the right hand side of (a) in  $\hat{\mathbf{U}}_A$ :

$$\begin{aligned}
& \sum_{\substack{c, c' \in \mathbf{N}, \\ \lambda \in X}} g'^c f'^{\langle i, \lambda \rangle} e'^{c'} \theta_i^{(c)+} 1_\lambda \theta_i^{(c')-} = \sum_{\substack{c, c' \in \mathbf{N}, \lambda \in X; \\ -\langle i, \lambda \rangle > c + c'}} g'^c f'^{\langle i, \lambda \rangle} e'^{c'} \theta_i^{(c)+} 1_\lambda \theta_i^{(c')-} \\
& + \sum_{\substack{c, c', t \in \mathbf{N}, \lambda \in X; \\ -\langle i, \lambda \rangle \leq c + c'}} g'^c f'^{\langle i, \lambda \rangle} e'^{c'} \binom{c + c' + \langle i, \lambda \rangle}{t} \theta_i^{(c'-t)-} 1_{\lambda + (c + c' - t)i} \theta_i^{(c'-t)+} \\
& = \sum_{c, c' \in \mathbf{N}, \lambda \in X; -\langle i, \lambda \rangle > c + c'} g'^c f'^{\langle i, \lambda \rangle} e'^{c'} \theta_i^{(c)+} 1_\lambda \theta_i^{(c')-} \\
& + \sum_{\substack{s, s' \in \mathbf{N}, \lambda' \in X; \\ \langle i, \lambda' \rangle \geq s + s'}} \sum_{t \in \mathbf{N}} g'^{s' + t} f'^{\langle i, \lambda' - (s + s' + t)i' \rangle} e'^{s + t} \binom{-s - s' + \langle i, \lambda' \rangle}{t} \theta_i^{(s)-} 1_{\lambda'} \theta_i^{(s')+} \\
& = \sum_{c, c' \in \mathbf{N}, \lambda \in X; -\langle i, \lambda \rangle > c + c'} g'^c f'^{\langle i, \lambda \rangle} e'^{c'} \theta_i^{(c)+} 1_\lambda \theta_i^{(c')-} \\
& + \sum_{\substack{s, s' \in \mathbf{N}, \lambda' \in X; \\ \langle i, \lambda' \rangle \geq s + s'}} g'^{s'} f'^{\langle i, \lambda' - (s + s')i' \rangle} e'^s (1 + g' f'^{-2} e')^{-s - s' + \langle i, \lambda' \rangle} \theta_i^{(s)-} 1_{\lambda'} \theta_i^{(s')+}.
\end{aligned}$$

It remains to show:

$$e^c f^{-\langle i, \lambda \rangle} g^{c'} = g'^{c'} f'^{\langle i, \lambda - (c + c')i' \rangle} e'^c (1 + g' f'^{-2} e')^{-c - c' + \langle i, \lambda \rangle},$$

$$g'^c f'^{\langle i, \lambda \rangle} e'^{c'} = e^{c'} f^{-\langle i, \lambda + (c+c')i' \rangle} g^c (1 + e f^{-2} g)^{-c-c'-\langle i, \lambda \rangle}$$

for any  $c, c' \in \mathbf{N}$ ,  $\lambda \in X$ . This is immediate.

**4.6.** Let  $i \in I$  and let  $u \in A^\circ$ . We have

$$(a) \quad x_i(u-1)y_i(1)x_i(u^{-1}-1)y_i(-u) = t_i(u) \in T_A.$$

Taking  $e = 1$ ,  $f = 1$ ,  $g = u^{-1} - 1$  in 4.5(a) we obtain

$$y_i(1)x_i(u^{-1}-1) = x_i(-u+1)t_u y_i(u);$$

(a) follows.

**4.7.** Let  $w \in W$ ,  $i \in I$  be such that  $l(ws_i) = l(w) + 1$ . Let  $h \in A$ . We show:

$$(a) \quad w''x_i(h)w''^{-1} \in G_A^{>0}.$$

We can find a sequence  $i_1, i_2, \dots, i_n$  in  $I$  as in 2.8 such that  $w = s_{i_1}s_{i_2}\dots s_{i_{k-1}}$ ,  $i = i_k$ ,  $k-1 = l(w)$ . The equality

$$T''_{i_1,1}T''_{i_2,1}\dots T''_{i_{k-1},1}(\theta_{i_k}^{(c)+}1_{s_{i_{k-1}}s_{i_{k-2}}\dots s_{i_1}}\lambda) = x_{c,k}^+1_\lambda$$

in 2.8 (with  $c \in \mathbf{N}$ ,  $\lambda \in X$ , and with  $x_{c,k} \in \mathbf{f}_A$  independent of  $\lambda$ ) can be rewritten as

$$w''(\theta_{i_k}^{(c)+}1_{s_{i_{k-1}}s_{i_{k-2}}\dots s_{i_1}}\lambda)w''^{-1} = x_{c,k}^+1_\lambda.$$

(See 2.3(c).) We multiply this by  $h^c$  and sum over all  $\lambda \in X$  and  $c \in \mathbf{N}$ ; we obtain

$$w''x_i(h)w''^{-1} = \sum_{c \in \mathbf{N}} h^c x_{c,k}^+.$$

The sum in the right hand side is well defined since for some  $\nu \in \mathbf{N}[I] - \{0\}$  we have  $x_{c,k}^+ \in \mathbf{f}_{c\nu, A}$ . Thus we have  $w''x_i(h)w''^{-1} \in \hat{\mathbf{U}}_A^{>0}$ . Since  $w''x_i(h)w''^{-1} \in G_A$  and  $G_A \cap \hat{\mathbf{U}}_A^{>0} = G_A^{>0}$ , the result follows.

**4.8.** In the setup of 2.8, for any  $\mathbf{h} = (h_1, h_2, \dots, h_n) \in A^n$  we set

$$\mathbf{x}_{\mathbf{h}} = x_{i_1}(h_1)(s''_{i_1}x_{i_2}(h_2)s''_{i_1}{}^{-1})\dots \times (s''_{i_1}s''_{i_2}\dots s''_{i_{n-1}}x_{i_n}(h_n)s''_{i_{n-1}}{}^{-1}\dots s''_{i_2}{}^{-1}s''_{i_1}{}^{-1}).$$

From 4.7(a) we see that  $\mathbf{x}_{\mathbf{h}} \in G_A^{>0}$ . We show:

$$(a) \quad \text{The map } A^n \rightarrow G_A^{>0}, \mathbf{h} \mapsto \mathbf{x}_{\mathbf{h}} \text{ is a bijection.}$$

For  $\mathbf{h}$  as above we have

$$\begin{aligned} \mathbf{x}_{\mathbf{h}} &= \sum_{c_1, c_2, \dots, c_n \in \mathbf{N}, \lambda_1, \lambda_2, \dots, \lambda_n \in X} h_1^{c_1} h_2^{c_2} \dots h_n^{c_n} \\ &\quad \times \theta_{i_1}^{(c_1)+}1_{\lambda_1} T''_{i_1,1}(\theta_{i_2}^{(c_2)+}1_{\lambda_2}) \dots T''_{i_1,1}T''_{i_2,1} \dots T''_{i_{n-1},1}(\theta_{i_n}^{(c_n)+}1_{\lambda_n}) \\ &= \sum_{\mathbf{c} \in \mathbf{N}^n, \lambda \in X} h_1^{c_1} h_2^{c_2} \dots h_n^{c_n} x_{\mathbf{c}}1_\lambda. \end{aligned}$$

Hence for  $\mathbf{c} \in \mathbf{N}^n$  we have

$$(b) \quad \mathbf{x}_{\mathbf{h}}(\xi_{\mathbf{c}}) = h_1^{c_1} h_2^{c_2} \dots h_n^{c_n}.$$

Now the  $A$ -algebra homomorphisms  $A[t_1, t_2, \dots, t_n] \rightarrow A$  (preserving 1) are clearly in bijection with the points of  $A^n$ ; to  $(h_1, h_2, \dots, h_n) \in A^n$  corresponds the algebra homomorphism which takes  $t_r$  to  $h_r$  for  $r \in [1, n]$ . Composing with  $\kappa$  in 3.13, we obtain a bijection between the set of  $A$ -algebra homomorphisms  $\mathbf{o}_A \rightarrow A$  (preserving 1) and the set  $A^n$ . From (b) we see that under this bijection  $\mathbf{x}_{\mathbf{h}} \in G_A^{>0}$  corresponds to  $\mathbf{h} \in A^n$ . This proves (a).

**4.9.** For any  $\lambda \in X$  we define a homomorphism  $\chi_{\lambda} : T_A \rightarrow A^{\circ}$  by  $d \otimes y \mapsto d^{(y, \lambda)}$  for  $d \in A^{\circ}$ ,  $y \in Y$  or equivalently by  $\sum_{\lambda \in X} n_{\lambda} \lambda \mapsto n_{\lambda}$ . For  $t \in T_A$ ,  $h \in A$ ,  $i \in I$ , we show:

$$(a) \quad tx_i(h)t^{-1} = x_i(\chi_{i'}(t)h).$$

Writing  $t = \sum_{\lambda \in X} n_{\lambda} \lambda$ , we see that the left hand side of (a) is

$$\begin{aligned} & \sum_{c \in \mathbf{N}, \lambda, \lambda' \in X} n_{\lambda}^{-1} n_{\lambda'} h^c 1_{\lambda'} \theta_i^{(c)+} 1_{\lambda} = \sum_{c \in \mathbf{N}, \lambda, \lambda' \in X} n_{\lambda}^{-1} n_{\lambda'} h^c \theta_i^{(c)+} 1_{\lambda' - ci'} 1_{\lambda} \\ & = \sum_{c \in \mathbf{N}, \lambda \in X} n_{\lambda}^{-1} n_{\lambda + ci'} h^c \theta_i^{(c)+} 1_{\lambda} = \sum_{c \in \mathbf{N}, \lambda \in X} n_{i'}^c h^c \theta_i^{(c)+} 1_{\lambda} = x_i(n_{i'} h) = x_i(\chi_{i'}(t)h). \end{aligned}$$

This proves (a).

In the setup of 2.8, let  $k \in [1, n]$ . Define a homomorphism  $f_k : A \rightarrow G_A^{>0}$  by  $h \mapsto s''_{i_1} s''_{i_2} \dots s''_{i_{k-1}} x_{i_k}(h) s''_{i_{k-1}}^{-1} \dots s''_{i_2}^{-1} s''_{i_1}^{-1}$ . From 3.13 we see that  $f_k$  is an isomorphism of  $A$  onto its image  $f_k(A)$ . We set  $\lambda_k = s_{i_1} s_{i_2} \dots s_{i_{k-1}}(i'_k) \in X$ . For  $t \in T_A$ ,  $h \in A$  we show:

$$(b) \quad tf_k(h)t^{-1} = f_k(\chi_{\lambda_k}(t)h).$$

Let  $t' = s_{i_{k-1}} \dots s_{i_2} s_{i_1}(t) \in T_A$ . Using 4.3(a), we see that (b) is equivalent to  $t' x_{i_k}(h) t'^{-1} = x_{i_k}(\chi_{\lambda_k}(t)h)$  that is, using (a), to  $x_{i_k}(\chi_{i'_k}(t')h) = x_{i_k}(\chi_{\lambda_k}(t)h)$ . It is enough to show that  $\chi_{i'_k}(t') = \chi_{\lambda_k}(t)$ . This is immediate from the definitions.

We show:

(c) *Let  $w \in W$ ,  $i \in I$ . There exists  $u \in A^{\circ}$  such that for any  $h \in A$  we have  $\omega(w'' x_i(h) w''^{-1}) = w'' y_i(uh) w''^{-1}$ .*

Writing  $w = s_{j_1} s_{j_2} \dots s_{j_r}$  with  $j_1, j_2, \dots, j_r$  in  $I$  and  $r = l(w)$  we have

$$w'' = s'_{j_1} t_{j_1}(-1) s'_{j_2} t_{j_2}(-1) \dots s'_{j_r} t_{j_r}(-1) = w' t$$

for some  $t \in T_A$ . Hence

$$w'' x_i(h) w''^{-1} = w' t x_i(h) t^{-1} w'^{-1} = w' x_i(uh) w'^{-1}$$

where  $u = \chi_{i'}(t) \in A^{\circ}$ , see 4.9(a). Using  $\omega(w'') = w'$ ,  $\omega(y_i(uh)) = x_i(uh)$ , we obtain  $w'' x_i(h) w''^{-1} = \omega(w'' y_i(uh) w''^{-1})$  and (c) is proved.

**4.10.** We now assume that  $A$  is an algebraically closed field. Since, by 3.15,  $\mathbf{O}_A$  is a finitely generated  $A$ -algebra which is an integral domain, we see that  $G_A$  is a connected algebraic group over  $A$  with coordinate ring  $\mathbf{O}_A$ . Since, by 3.13,  $\mathfrak{o}_A$  is a finitely generated  $A$ -algebra which is an integral domain, we see that  $\mathfrak{G}_A$  (and hence  $G_A^{>0}$  and  $G_A^{<0}$ ) are connected algebraic group over  $A$  with coordinate ring  $\mathfrak{o}_A$ . Since the inclusions of  $G_A^{>0}$  and  $G_A^{<0}$  into  $G_A$  correspond to surjective algebra homomorphisms  $\mathbf{O}_A \rightarrow \mathfrak{o}_A$  we see that  $G_A^{>0}$  and  $G_A^{<0}$  are closed subgroups of  $G_A$ . Also  $T_A$  is clearly a subtorus of  $G_A$ .

**Theorem 4.11.** *In the setup of 4.10,  $G_A$  is a connected reductive group over  $A$  with associated root datum the same as the one given in 1.1.*

From 3.13 we see that as an affine variety,  $G_A^{>0}$  is isomorphic to the affine space  $A^n$ . It follows that  $G_A^{>0}$  is a connected unipotent group. Similarly  $G_A^{<0}$  is a connected unipotent group.

The  $T_A$ -action (conjugation) on the unipotent group  $G_A^{>0}$  satisfies the hypotheses of [Bo, IV, 14.4]: the induced  $T_A$ -action on  $\text{Lie } G^{>0}$  is through one dimensional weight spaces corresponding to weights given by the distinct non-zero elements  $\lambda_k (k \in [1, n])$  of  $X$  (see 4.9) and  $\lambda_k, \lambda_{k'}$  are linearly independent in  $X$  for  $k \neq k'$ . (This is a well known property of the  $W$ -action on  $X$ .) Using *loc. cit* we see that any non-trivial closed subgroup of  $G_A^{>0}$  which is stable under conjugation by  $T$  contains the subgroup  $f_k(A)$  (see 4.9) for some  $k \in [1, n]$ ; moreover, the centralizer of  $T_A$  in  $G_A^{>0}$  is  $\{1\}$ .

From 3.10 we see that the morphism  $G_A^{<0} \times T_A \times G_A^{>0} \rightarrow G_A$  given by multiplication in  $G_A$  has dense image. Since  $T_A$  normalizes  $G_A^{<0}$  we see that  $G_A^{<0}T_A$  is a closed subgroup of  $G_A$ . Since  $G_A^{<0}T_AG_A^{>0}$  is the orbit of 1 for an action of  $(G_A^{<0}T) \times G_A^{>0}$  on  $G_A$ , we see that this orbit is locally closed in  $G_A$ . Since it is dense in  $G_A$ , we see that  $G_A^{<0}T_AG_A^{>0}$  is open in  $G_A$ .

Since  $G_A^{<0}T_A$  is solvable and connected, it is contained in some Borel subgroup  $B$  of  $G_A$ . Assume that  $G_A^{<0}T_A$  is contained properly in  $B$ . Now  $(G_A^{<0}T_AG_A^{>0}) \cap B$  is open in  $B$ . If  $\xi_1, \xi_2, \xi_3$  are elements of  $G_A^{>0}, T_A, G_A^{>0}$  such that  $\xi_1\xi_2\xi_3 \in B$ , then using  $\xi_1\xi_2 \in B$  we see that  $\xi_3 \in B$ . Thus  $(G_A^{<0}T_AG_A^{>0}) \cap B = (G_A^{<0}T_A)(G_A^{>0} \cap B)$ . If  $G_A^{>0} \cap B = \{1\}$  it would follow that  $(G_A^{<0}T_AG_A^{>0}) \cap B = G_A^{<0}T_A$  which is a proper closed subset of  $B$ ; this contradicts the fact that  $(G_A^{<0}T_AG_A^{>0}) \cap B$  is open (non-empty) in  $B$ . Thus we have  $G_A^{>0} \cap B \neq \{1\}$ . Since  $G_A^{>0} \cap B$  is a unipotent subgroup of  $B$ , it is equal to  $G_A^{>0} \cap B_u$  where  $B_u$  is the unipotent radical of  $B$ . Since  $G_A^{>0} \cap B_u$  is a non-trivial closed subgroup of  $G_A^{>0}$ , stable under conjugation by  $T_A$  we see that we have  $f_k(A) \subset G_A^{>0} \cap B_u$  for some  $k \in [1, n]$ . Since  $f_k(A) \subset G_A^{>0}$ , we have  $\omega(f_k(A)) \subset G_A^{<0}$ . Since  $G_A^{<0}$  is a unipotent subgroup of  $B$ , we have  $G_A^{<0} \subset B_u$  hence  $\omega(f_k(A)) \subset B_u$ . Thus there exists  $w \in W$  and  $i \in I$  such that  $w''x_i(A)w'' \subset B_u$  and  $\omega(w''x_i(A)w'') \subset B_u$ . Hence, using 4.9(c), we have  $w''y_i(A)w''^{-1}$ . Thus  $x_i(A)$  and  $y_i(A)$  are contained in the unipotent group  $w''^{-1}B_uw''$ . Using 4.6, we deduce that for any  $u \in A^\circ$  we have  $t_i(u) \in w''^{-1}B_uw''$ . Since  $t_i(u) \in T_A$  is semisimple it follows that  $t_i(u) = 1$  for all  $u \in A - \{0\}$ . From

the definition of  $t_i(u)$  we see that if we choose  $u \in A - \{0, 1\}$  (recall that  $A$  is algebraically closed) then  $t_i(u) \neq 1$ . We have a contradiction. We see that  $B = G_A^{<0}T_A$  so that  $G_A^{<0}T_A$  is a Borel subgroup of  $G_A$ . Applying  $\omega$  we see that  $\omega(G_A^{<0}T_A) = G_A^{>0}T_A$  is a Borel subgroup of  $G_A$ . From 4.2(a) we see that  $(G_A^{<0}T_A) \cap (G_A^{>0}T_A) = T_A$ . Since the unipotent radical of  $G_A$  is contained in both Borel subgroups  $G_A^{<0}T_A, G_A^{>0}T_A$ , it must be also contained in their intersection, the torus  $T_A$ . Thus, the unipotent radical of  $G_A$  is  $\{1\}$ . We see that  $G_A$  is reductive. Since  $T_A$  is the intersection of two Borel subgroups of  $G_A$ , it must be a maximal torus of  $G_A$ . From the definitions we see that the weights of the adjoint action of  $T_A$  on the Lie algebra of  $G_A$  are exactly  $\lambda_k (k \in [1, n])$ , their negatives (each with multiplicity 1) and the 0 weight with multiplicity  $\dim T_A$ . It follows that the root datum associated to  $G_A$  is exactly the root datum given in 1.1. The theorem is proved.

## 5. FROM ENVELOPING ALGEBRAS TO MODIFIED ENVELOPING ALGEBRAS

**5.1.** In this subsection we recall some definitions from [Ko].

Let  $\mathbf{U}_{\mathbf{Q}}$  be the  $\mathbf{Q}$ -algebra with 1 generated by the symbols  $x^+, x^-$  with  $x \in \mathbf{f}_{\mathbf{Q}}$  and  $\underline{y}$  with  $y \in Y$ ; these symbols are subject to the following relations:

$\mathbf{f}_{\mathbf{Q}} \rightarrow \mathbf{U}_{\mathbf{Q}}, x \mapsto x^{\pm}$  is a  $\mathbf{Q}$ -algebra homomorphism preserving 1;

$Y \rightarrow \mathbf{U}_{\mathbf{Q}}, y \mapsto \underline{y}$  is  $\mathbf{Z}$ -linear;

$\underline{y}\underline{y}' = \underline{y}'\underline{y}$  for  $y, y' \in Y$ ;

$\underline{y}\theta_i^{\pm} = \theta_i^{\pm}(\underline{y} \pm \langle y, i \rangle)$  for  $y \in Y, i \in I$ ;

$\theta_i^+\theta_j^- - \theta_j^-\theta_i^+ = \delta_{i,j}\underline{i}$  for  $i, j \in I$ .

Thus  $\mathbf{U}_{\mathbf{Q}}$  is the universal enveloping algebra attached to our root datum. There is a unique algebra homomorphism  $\Delta : \mathbf{U}_{\mathbf{Q}} \rightarrow \mathbf{U}_{\mathbf{Q}} \otimes_{\mathbf{Q}} \mathbf{U}_{\mathbf{Q}}$  such that  $\Delta(\underline{y}) = \underline{y} \otimes 1 + 1 \otimes \underline{y}$  for  $y \in Y$ ,  $\Delta(\theta_i^{\pm}) = \theta_i^{\pm} \otimes 1 + 1 \otimes \theta_i^{\pm}$  for  $i \in I$ . There is a unique algebra homomorphism  $S$  from  $\mathbf{U}_{\mathbf{Q}}$  to  $\mathbf{U}_{\mathbf{Q}}$  with the opposed multiplication such that  $S(\underline{y}) = -\underline{y}$  for  $y \in Y$ ,  $S(\theta_i^{\pm}) = -\theta_i^{\pm}$  for  $i \in I$ . There is a unique algebra homomorphism  $\epsilon : \mathbf{U}_{\mathbf{Q}} \rightarrow \mathbf{Q}$  preserving 1 such that  $\epsilon(\underline{y}) = 0$  for  $y \in Y$ ,  $\epsilon(\theta_i^{\pm}) = 0$  for  $i \in I$ . Then  $(\mathbf{U}_{\mathbf{Q}}, \Delta, S)$  is a Hopf algebra over  $\mathbf{Q}$  with 1 and with counit  $\epsilon$ .

Let  $\mathcal{C}'_{\mathbf{Q}}$  be the category whose objects are unital  $\mathbf{U}_{\mathbf{Q}}$ -modules  $M$  such that  $M = \bigoplus_{\lambda \in X} M^{\lambda}$  (as a vector space) where for any  $\lambda \in X$  we have  $M^{\lambda} = \{z \in M; yz = \langle y, \lambda \rangle z \text{ for any } y \in Y\}$ .

For any  $M \in \mathcal{C}'_{\mathbf{Q}}$  let  $C'_M$  be the  $\mathbf{Q}$ -subspace of  $\mathbf{U}_{\mathbf{Q}}^{\diamond}$  spanned by the functions  $u \mapsto z'(uz)$  for various  $z \in M, z' \in M^{\diamond}$ . Let  $\mathbf{O}'_{\mathbf{Q}} = \sum_{M \in \mathcal{C}'_{\mathbf{Q}}} C'_M$ , a  $\mathbf{Q}$ -subspace of  $\mathbf{U}_{\mathbf{Q}}^{\diamond}$ . Now  $\mathbf{O}'_{\mathbf{Q}}$  is a Hopf algebra over  $\mathbf{Q}$  in which the multiplication is the "transpose" of  $\Delta : \mathbf{U}_{\mathbf{Q}} \rightarrow \mathbf{U}_{\mathbf{Q}} \otimes_{\mathbf{Q}} \mathbf{U}_{\mathbf{Q}}$ , the comultiplication is the "transpose" of the multiplication  $\mathbf{U}_{\mathbf{Q}} \otimes_{\mathbf{Q}} \mathbf{U}_{\mathbf{Q}} \rightarrow \mathbf{U}_{\mathbf{Q}}$ , the antipode is the "transpose" of  $S : \mathbf{U}_{\mathbf{Q}} \rightarrow \mathbf{U}_{\mathbf{Q}}$ , the unit is the counit of  $\mathbf{U}_{\mathbf{Q}}$  and the counit is given by  $f \mapsto f(1)$ .

Let  $\mathbf{U}_{\mathbf{Z}}$  be the subring of  $\mathbf{U}_{\mathbf{Q}}$  generated by the elements

$$\left(\frac{y}{k}\right) = \underline{y}(\underline{y} - 1) \dots (\underline{y} - k + 1)/k!$$

for  $y \in Y, k \in \mathbf{N}$  and  $\theta_i^{(m)+}, \theta_i^{(m)-}$  for  $i \in I, m \in \mathbf{N}$ . This is a  $\mathbf{Z}$ -lattice in  $\mathbf{U}_{\mathbf{Q}}$ , called the Kostant  $\mathbf{Z}$ -form of  $\mathbf{U}_{\mathbf{Q}}$ . Let  $\mathbf{O}'_{\mathbf{Z}} = \{f \in \mathbf{O}'_{\mathbf{Q}}; f(\mathbf{U}_{\mathbf{Z}}) \subset \mathbf{Z}\}$ . This is a  $\mathbf{Z}$ -subalgebra with 1 of  $\mathbf{O}'_{\mathbf{Q}}$ ; it inherits from  $\mathbf{O}'_{\mathbf{Q}}$  a comultiplication, an antipode and a counit, which make it into a Hopf algebra over  $\mathbf{Z}$  (here we use the fact that  $\mathbf{O}'_{\mathbf{Z}}$  is a torsion free  $\mathbf{Z}$ -module).

If  $v = 1$  in  $A$  we set  $\mathbf{O}'_A = A \otimes \mathbf{O}'_{\mathbf{Z}}$ ; this is naturally a Hopf algebra over  $A$ . The following results show that our results about  $\mathbf{O}_A$  apply also to  $\mathbf{O}'_A$ .

**Proposition 5.2.** *If  $v = 1$  in  $A$ , we have canonically  $\mathbf{O}'_A = \mathbf{O}_A$  as Hopf algebras over  $A$ .*

The proof is given in 5.9.

**5.3.** Let  $\mathbf{P}$  be the  $\mathbf{Q}$ -algebra of functions  $X \rightarrow \mathbf{Q}$  generated by the functions  $\underline{y} : \lambda \mapsto \langle y, \lambda \rangle$  for various  $y \in Y$ . We regard  $\mathbf{P}$  as a  $\mathbf{Q}$ -subalgebra with 1 of  $\hat{\mathbf{U}}_{\mathbf{Q}}^0$  by  $\phi \mapsto \sum_{\lambda \in X} \phi(\lambda) 1_{\lambda}$ . For  $y \in Y, h \in \mathbf{Z}$  and  $k \in \mathbf{N}$  we set

$$\left( \frac{\underline{y} + h}{k} \right) = (\underline{y} + h)(\underline{y} + h - 1) \dots (\underline{y} + h - k + 1) / k! \in \mathbf{P}.$$

Let  $\mathbf{P}_{\mathbf{Z}}$  be the subring of  $\mathbf{P}$  generated by the elements  $\left( \frac{\underline{y}}{k} \right)$  for various  $y \in Y, k \in \mathbf{N}$ . Note that

- (a)  $\mathbf{P}_{\mathbf{Z}} \subset \hat{\mathbf{U}}_{\mathbf{Z}}^0$ ,
- (b)  $\left( \frac{\underline{y} + h}{k} \right) \in \mathbf{P}_{\mathbf{Z}}$  for any  $y \in Y, h \in \mathbf{Z}, k \in \mathbf{N}$ .

Let  $\{y_1, y_2, \dots, y_r\}$  be a basis of the  $\mathbf{Z}$ -module  $Y$ . The following result is well known.

(c) *The elements  $\phi_{\mathbf{k}} = \left( \frac{y_1}{k_1} \right) \left( \frac{y_2}{k_2} \right) \dots \left( \frac{y_r}{k_r} \right)$  (with  $\mathbf{k} = (k_1, k_2, \dots, k_r) \in \mathbf{N}^r$ ) form a  $\mathbf{Z}$ -basis of the  $\mathbf{Z}$ -module  $\mathbf{P}_{\mathbf{Z}}$  and a  $\mathbf{Q}$ -basis of the  $\mathbf{Q}$ -vector space  $\mathbf{P}$ .*

We show:

(d) *Let  $N \geq 1$ . Let  $X_N = \{\lambda \in X; -N \leq \langle y_j, \lambda \rangle \leq N \text{ for } j = 1, 2, \dots, r\}$ . Let  $\mathcal{M}_N$  be the set of all elements  $\sum_{\lambda' \in X} n_{\lambda'} 1_{\lambda'} \in \hat{\mathbf{U}}_{\mathbf{Z}}^0$  such that  $n_{\lambda'} = 0$  for  $\lambda' \in X_N$ . Let  $\lambda \in X$ . Then  $1_{\lambda} \in \mathbf{P}_{\mathbf{Z}} + \mathcal{M}_N$ .*

If  $\lambda \notin X_N$  then  $1_{\lambda} \in \mathcal{M}_N$  and the result holds. Now assume that  $\lambda \in X_N$ . We have

$$(e) \quad \prod_{j \in [1, r]} \binom{y_j + 2N - \langle y_j, \lambda \rangle}{2N} = \sum_{\substack{\lambda' \in X; \langle y_j, \lambda' \rangle \in (\infty, -\langle y_j, \lambda \rangle - 2N - 1] \cup [\langle y_j, \lambda \rangle, \infty) \\ \text{for } j \in [1, r]}} n_{\lambda'} 1_{\lambda'}$$

where  $n_{\lambda'} \in \mathbf{Z}$  are such that  $n_{\lambda} = 1$ . For  $\lambda'$  in the last sum such that  $\langle y_j, \lambda' \rangle \in (\infty, -\langle y_j, \lambda \rangle - 2N - 1]$  for some  $j$  we have  $\langle y_j, \lambda' \rangle \leq -N - 1$  (since  $-N \leq \langle y_j, \lambda \rangle$ ) hence  $\lambda' \notin X_N$ ; the sum over all such  $\lambda'$  is in  $\mathcal{M}_N$ . On the other hand, the left hand side of (e) is in  $\mathbf{P}_{\mathbf{Z}}$ . Thus (e) implies

$$\sum_{\lambda' \in X_N; \langle y_j, \lambda' \rangle \geq \langle y_j, \lambda \rangle \text{ for } j \in [1, r]} n_{\lambda'} 1_{\lambda'} \in \mathbf{P}_{\mathbf{Z}} + \mathcal{M}_N$$

where  $n_{\lambda'} \in \mathbf{Z}$  are such that  $n_{\lambda} = 1$ . For any  $\lambda' \in X_N$  we set  $q_{\lambda'} = \sum_{j \in [1, r]} \langle y_j, \lambda' \rangle$  so that  $-Nr \leq q_{\lambda'} \leq Nr$ . We have

$$1_{\lambda} + \sum_{\lambda' \in X_N; \langle y_j, \lambda' \rangle \geq \langle y_j, \lambda \rangle \text{ for } j \in [1, r], q_{\lambda'} > q_{\lambda}} n_{\lambda'} 1_{\lambda'} \in \mathbf{P}_{\mathbf{Z}} + \mathcal{M}_N.$$

This shows by descending induction on  $q_{\lambda}$  (starting with  $q_{\lambda} = Nr$ ) that  $1_{\lambda} \in \mathbf{P}_{\mathbf{Z}} + \mathcal{M}_N$  for any  $\lambda \in X_N$ . This proves (d).

**5.4.** There is a unique algebra homomorphism  $\mathbf{U}_{\mathbf{Q}} \rightarrow \hat{\mathbf{U}}_{\mathbf{Q}}$  preserving 1 such that  $\underline{y} \mapsto \sum_{\lambda \in X} \langle y, \lambda \rangle 1_{\lambda}$  for any  $y \in Y$ ,  $\theta_i^{\pm} \mapsto \theta_i^{\pm}$  (as in 2.7) for any  $i \in I$ . This homomorphism is injective and we identify  $\mathbf{U}_{\mathbf{Q}}$  with a subalgebra of  $\hat{\mathbf{U}}_{\mathbf{Q}}$  via this homomorphism. We identify  $\mathbf{P}$  with a subalgebra of  $\mathbf{U}_{\mathbf{Q}}$  by  $\underline{y} \mapsto y$ . This makes  $\mathbf{P}$  a subalgebra of  $\hat{\mathbf{U}}_{\mathbf{Q}}^0$  (in the same way as in 5.3). Note that:

(a) *the elements  $b^+ \phi_{\mathbf{k}} b'^-$  with  $b, b' \in \mathbf{B}$  and  $\mathbf{k} \in \mathbf{N}^r$  form a  $\mathbf{Z}$ -basis of  $\mathbf{U}_{\mathbf{Z}}$  and a  $\mathbf{Q}$ -basis of  $\mathbf{U}_{\mathbf{Q}}$ .*

We show that

(b)  $\mathbf{U}_{\mathbf{Z}} \subset \hat{\mathbf{U}}_{\mathbf{Z}}$ .

By (a) it is enough to show that for  $b \in \mathbf{B}$  we have  $b^+ \in \hat{\mathbf{U}}_{\mathbf{Z}}$  (this is clear),  $b^- \in \hat{\mathbf{U}}_{\mathbf{Z}}$  (this is clear) and that for  $\mathbf{k} \in \mathbf{N}$  we have  $\phi_{\mathbf{k}} \in \hat{\mathbf{U}}_{\mathbf{Z}}^0$  (this follows from 5.3(a)).

The category  $\mathfrak{C}_{\mathbf{Q}}$  (see 1.6) is equivalent to the category  $\mathfrak{C}'_{\mathbf{Q}}$ : if  $M \in \mathfrak{C}_{\mathbf{Q}}$  then  $M$  can be regarded as a  $\hat{\mathbf{U}}_{\mathbf{Q}}$ -module as in 1.12 and then as  $\mathbf{U}_{\mathbf{Q}}$ -module via the imbedding  $\mathbf{U}_{\mathbf{Q}} \subset \hat{\mathbf{U}}_{\mathbf{Q}}$ . This  $\mathbf{U}_{\mathbf{Q}}$ -module structure makes  $M$  into an object of  $\mathfrak{C}'_{\mathbf{Q}}$ . This gives a functor  $\mathfrak{C}_{\mathbf{Q}} \rightarrow \mathfrak{C}'_{\mathbf{Q}}$  which is easily seen to be an equivalence of categories. It is well known that

(c) *any object in  $\mathfrak{C}_{\mathbf{Q}}$  is semisimple and the simple objects of  $\mathfrak{C}_{\mathbf{Q}}$  are exactly the objects  $\Lambda_{\lambda, \mathbf{Q}}$  for various  $\lambda \in X^+$ .*

For any  $M \in \mathfrak{C}_{\mathbf{Q}}$  let  $C_M$  be the  $\mathbf{Q}$ -subspace of  $\hat{\mathbf{U}}_{\mathbf{Q}}^{\diamond}$  spanned by the functions  $u \mapsto z'(uz)$  for various  $z \in M, z' \in M^{\diamond}$ .

**Lemma 5.5.** *Let  $f \in \hat{\mathbf{U}}_{\mathbf{Q}}^{\diamond}$ . The following three properties are equivalent:*

- (i)  $f \in \mathbf{O}_{\mathbf{Q}}$ ;
- (ii)  $f \in \sum_{M \in \mathfrak{C}_{\mathbf{Q}}} C_M$ .
- (iii)  $f \in \sum_{\lambda \in X^+} C_{\Lambda_{\lambda, \mathbf{Q}}}$ .

Assume that (i) holds. To show (ii) we can assume that  $f = a^*$  where  $a \in \dot{\mathbf{B}}_{\lambda, \lambda'}$  for some  $\lambda, \lambda'$  in  $X^+$ . Let  $M = {}^{\omega} \Lambda_{\lambda, \mathbf{Q}} \otimes_{\mathbf{Q}} \Lambda_{\lambda', \mathbf{Q}}$ . Let  $z = \xi_{\lambda} \otimes \eta_{\lambda'} \in M$ . Define  $z' \in M^{\diamond}$  by  $z'(a'z) = \delta_{a, a'}$  for any  $a' \in \dot{\mathbf{B}}_{\lambda, \lambda'}$ . For  $a'' \in \dot{\mathbf{B}}$  we have  $z'(a''z) = a^*(a'')$  (if  $a'' \notin \dot{\mathbf{B}}_{\lambda, \lambda'}$  both sides are 0 since  $a''z = 0$ ; if  $a'' \in \dot{\mathbf{B}}_{\lambda, \lambda'}$ , both sides are  $\delta_{a, a''}$ .) Hence  $z'(uz) = a^*(u)$  for all  $u \in \hat{\mathbf{U}}_{\mathbf{Q}}$ . Hence  $a^* \in C_M$ , as required.

Assume that (iii) holds. To show (i) we may assume that there exist  $z \in M, z' \in M^{\diamond}$  with  $M = \Lambda_{\lambda, \mathbf{Q}}$ ,  $\lambda \in X^+$  such that  $f(u) = z'(uz)$  for all  $u \in \hat{\mathbf{U}}_{\mathbf{Q}}$ .



Recall that, if  $b \in \dot{\mathbf{B}} - \cup_{\lambda'; \lambda \geq \lambda'} \dot{\mathbf{B}}[\lambda']$ , then  $b$  acts as 0 on  $M$  hence  $f(b) = 0$ . Let  $f_1 = f - \sum_{b \in \cup_{\lambda'; \lambda \geq \lambda'} \dot{\mathbf{B}}[\lambda']} f(b)b^* \in \dot{\mathbf{U}}_{\mathbf{Q}}^{\diamond}$ . Note that  $f_1(b) = 0$  if  $b \in \cup_{\lambda'; \lambda \geq \lambda'} \dot{\mathbf{B}}[\lambda']$ . If  $b' \notin \cup_{\lambda'; \lambda \geq \lambda'} \dot{\mathbf{B}}[\lambda']$  then both  $f$  and  $\sum_{b \in \cup_{\lambda'; \lambda \geq \lambda'} \dot{\mathbf{B}}[\lambda']} f(b)b^*$  vanish on  $b'$ . Hence  $f_1(b') = 0$ . We see that  $f_1 = 0$  and  $f = \sum_{b \in \cup_{\lambda'; \lambda \geq \lambda'} \dot{\mathbf{B}}[\lambda']} f(b)b^*$ . Thus  $f \in \mathbf{O}_{\mathbf{Q}}$ , as required.

Assume that (ii) holds. Then (iii) holds since  $C_{M' \oplus M''} = C_{M'} + C_{M''}$  for any  $M', M''$  in  $\mathfrak{C}_{\mathbf{Q}}$  and any  $M \in \mathfrak{C}_{\mathbf{Q}}$  is a direct sum of finitely many objects of the form  $\Lambda_{\lambda, \mathbf{Q}}$ ,  $\lambda \in X^+$ . The lemma is proved.

**5.6.** As in the proof of Lemma 5.5 we see that for  $f \in \mathbf{U}_{\mathbf{Q}}^{\diamond}$  the following two properties are equivalent:

- (i)  $f \in \sum_{M \in \mathfrak{C}_{\mathbf{Q}}} C'_M$ .
  - (ii)  $f \in \sum_{\lambda \in X^+} C'_{\Lambda_{\lambda, \mathbf{Q}}}$ .
- (Recall that  $\mathfrak{C}_{\mathbf{Q}} = \mathfrak{C}'_{\mathbf{Q}}$ .)

**5.7.** Let  $\mathcal{O} = \oplus_{\lambda \in X^+} \Lambda_{\lambda, \mathbf{Q}} \otimes_{\mathbf{Q}} \Lambda_{\lambda, \mathbf{Q}}^{\diamond}$ , a  $\mathbf{Q}$ -vector space. We show:

(a) *the linear map  $\alpha : \mathcal{O} \rightarrow \dot{\mathbf{U}}_{\mathbf{Q}}^{\diamond}$  whose restriction to  $\Lambda_{\lambda, \mathbf{Q}} \otimes_{\mathbf{Q}} \Lambda_{\lambda, \mathbf{Q}}^{\diamond}$  is  $z \otimes z' \mapsto [u \mapsto z'(uz)]$  is injective.*

For any finite subset  $F$  of  $X^+$  let  $\mathcal{O}_F = \oplus_{\lambda \in F} \Lambda_{\lambda, \mathbf{Q}} \otimes_{\mathbf{Q}} \Lambda_{\lambda, \mathbf{Q}}^{\diamond}$ . It is enough to verify that for any  $F$  as above, the linear map  $\mathcal{O}_F \rightarrow \dot{\mathbf{U}}^{\diamond}$  (restriction of  $\mathcal{O} \rightarrow \dot{\mathbf{U}}^{\diamond}$  in (a)) is injective.

Let  $(\xi_{\lambda})_{\lambda \in F} \in \ker(\mathcal{O}_F \rightarrow \dot{\mathbf{U}}^{\diamond})$ . For  $\lambda \in F$  we have  $\xi_{\lambda} = \sum_j z_j^{\lambda} \otimes z_j'^{\lambda}$  with  $z_j^{\lambda} \in \Lambda_{\lambda, \mathbf{Q}}$ ,  $z_j'^{\lambda} \in \Lambda_{\lambda, \mathbf{Q}}^{\diamond}$ . For any  $u \in \dot{\mathbf{U}}_{\mathbf{Q}}$  we have  $\sum_{\lambda \in F} \sum_j z_j'^{\lambda}(uz_j^{\lambda}) = 0$ . Using 5.4(c) we see that for any collection  $(e_{\lambda})_{\lambda \in F}$ ,  $e_{\lambda} \in \text{End}(\Lambda_{\lambda, \mathbf{Q}})$  we can find  $u \in \dot{\mathbf{U}}_{\mathbf{Q}}$  such that  $u$  acts on  $\Lambda_{\lambda, \mathbf{Q}}$  as  $e_{\lambda}$ . Hence we have  $\sum_{\lambda \in F} \sum_j z_j'^{\lambda}(e_{\lambda} z_j^{\lambda}) = 0$  for any  $(e_{\lambda})_{\lambda \in F}$  as above. Hence for any  $\lambda \in F$  we have  $\sum_j z_j'^{\lambda}(e z_j^{\lambda}) = 0$  for any  $e \in \text{End}(\Lambda_{\lambda, \mathbf{Q}})$ . Hence  $\sum_j z_j^{\lambda} \otimes z_j'^{\lambda} = 0$  for any  $\lambda \in F$ . Thus  $\xi_{\lambda} = 0$  for any  $\lambda \in F$ . This proves (a).

An entirely similar proof gives the following result.

(b) *the linear map  $\alpha' : \mathcal{O} \rightarrow \mathbf{U}_{\mathbf{Q}}^{\diamond}$  whose restriction to  $\Lambda_{\lambda, \mathbf{Q}} \otimes_{\mathbf{Q}} \Lambda_{\lambda, \mathbf{Q}}^{\diamond}$  is  $z \otimes z' \mapsto [u \mapsto z'(uz)]$  is injective.*

From 5.5, 5.6, we see that the image of  $\alpha$  is  $\mathbf{O}_{\mathbf{Q}}$  and the image of  $\alpha'$  is  $\mathbf{O}'_{\mathbf{Q}}$ . Using (a), (b) we see that  $\alpha, \alpha'$  define isomorphisms  $\mathcal{O} \xrightarrow{\sim} \mathbf{O}_{\mathbf{Q}}$ ,  $\mathcal{O} \xrightarrow{\sim} \mathbf{O}'_{\mathbf{Q}}$ .

Now let  $\hat{\alpha} : \mathcal{O} \rightarrow \hat{\mathbf{U}}_{\mathbf{Q}}^{\diamond}$  be the linear map whose restriction to  $\Lambda_{\lambda, \mathbf{Q}} \otimes_{\mathbf{Q}} \Lambda_{\lambda, \mathbf{Q}}^{\diamond}$  is  $z \otimes z' \mapsto [u \mapsto z'(uz)]$ . Let  $\gamma : \hat{\mathbf{U}}_{\mathbf{Q}}^* \rightarrow \hat{\mathbf{U}}_{\mathbf{Q}}^{\diamond}$ ,  $\gamma' : \hat{\mathbf{U}}_{\mathbf{Q}}^* \rightarrow \mathbf{U}_{\mathbf{Q}}^{\diamond}$  be the linear maps transpose to the imbeddings  $\dot{\mathbf{U}}_{\mathbf{Q}} \rightarrow \hat{\mathbf{U}}_{\mathbf{Q}}$ ,  $\mathbf{U}_{\mathbf{Q}} \rightarrow \hat{\mathbf{U}}_{\mathbf{Q}}$ . From the definitions we have  $\gamma\hat{\alpha} = \alpha$ ,  $\gamma'\hat{\alpha} = \alpha'$ . Since  $\alpha$  is injective we see that  $\hat{\alpha}$  is injective. Hence  $\hat{\alpha}$  defines an isomorphism  $\mathcal{O} \rightarrow \hat{\mathbf{O}}_{\mathbf{Q}}$  where  $\hat{\mathbf{O}}_{\mathbf{Q}}$  is the image of  $\hat{\alpha}$ . We see that the image of  $\mathbf{O}_{\mathbf{Q}}$  under  $\gamma$  is equal to the image of  $\mathbf{O}'_{\mathbf{Q}}$  under  $\gamma'$  and both these images are equal to  $\hat{\mathbf{O}}_{\mathbf{Q}}$ . Now  $\gamma, \gamma'$  restrict to isomorphisms  $\mathbf{O}_{\mathbf{Q}} \xrightarrow{\sim} \hat{\mathbf{O}}_{\mathbf{Q}}$ ,  $\mathbf{O}'_{\mathbf{Q}} \xrightarrow{\sim} \hat{\mathbf{O}}_{\mathbf{Q}}$ . Thus both  $\mathbf{O}_{\mathbf{Q}}, \mathbf{O}'_{\mathbf{Q}}$  can be canonically identified with  $\hat{\mathbf{O}}_{\mathbf{Q}}$  hence also with each

other. From the definitions we see that this identification of  $\mathbf{O}_{\mathbf{Q}}, \mathbf{O}'_{\mathbf{Q}}$  is compatible with the Hopf algebra structures.

**Lemma 5.8.** *Let  $F$  be a finite subset of  $X^+$ .*

(i) *Let  $u \in \dot{\mathbf{U}}_{\mathbf{Z}}$ . There exists  $u' \in \mathbf{U}_{\mathbf{Z}}$  such that  $u, u'$  act in the same way on  $\Lambda_{\lambda, \mathbf{Q}}$  for any  $\lambda \in F$ .*

(ii) *Let  $u' \in \mathbf{U}_{\mathbf{Z}}$ . There exists  $u \in \dot{\mathbf{U}}_{\mathbf{Z}}$  such that  $u, u'$  act in the same way on  $\Lambda_{\lambda, \mathbf{Q}}$  for any  $\lambda \in F$ .*

We can find  $N \geq 1$  such that  $\{\lambda \in X; 1_{\lambda} \Lambda_{\lambda, \mathbf{Q}} \neq 0\} \subset X_N$  (notation of 5.3(d)).

To prove (i) we may assume that  $u = b^+ 1_{\lambda} b'^- \in \dot{\mathbf{U}}_{\mathbf{Z}}$  where  $b, b' \in \mathbf{B}$ ,  $\lambda \in X$ . By 5.3(d) we can find  $u_1 \in \mathbf{P}_{\mathbf{Z}}$ ,  $u_2 \in \hat{\mathbf{U}}_{\mathbf{Z}}^0$  such that  $1_{\lambda} = u_1 + u_2$  and  $u_2$  acts as 0 on  $\Lambda_{\lambda, \mathbf{Q}}$  for any  $\lambda \in F$ . Then  $b^+ u_2 b'^- \in \hat{\mathbf{U}}_{\mathbf{Z}}$  acts as 0 on  $\Lambda_{\lambda, \mathbf{Q}}$  for any  $\lambda \in F$ . Hence  $u = b^+ u_1 b'^- + b^+ u_2 b'^-$  acts in the same way as  $b^+ u_1 b'^-$  on  $\Lambda_{\lambda, \mathbf{Q}}$  for any  $\lambda \in F$ . Hence  $u' = b^+ u_1 b'^- \in \mathbf{U}_{\mathbf{Z}}$  is as required in (i).

We prove (ii). We set  $u = u' \sum_{\lambda \in X_N} 1_{\lambda} \in \dot{\mathbf{U}}_{\mathbf{Q}}$ . Since  $u' \in \hat{\mathbf{U}}_{\mathbf{Z}}$  (see 5.4(b)) we see that  $u \in \hat{\mathbf{U}}_{\mathbf{Z}}$ . Thus,  $u \in \dot{\mathbf{U}}_{\mathbf{Q}} \cap \hat{\mathbf{U}}_{\mathbf{Z}} = \dot{\mathbf{U}}_{\mathbf{Z}}$ . Clearly,  $u$  is as required by (ii). The lemma is proved.

**5.9.** We prove Proposition 5.2. We can assume that  $A = \mathbf{Z}$ . Let  $Z$  (resp.  $Z'$ ) be the set of all  $(\xi_{\lambda})_{\lambda \in X^+} \in \mathcal{O}$ ,  $\xi_{\lambda} = \sum_j z_j^{\lambda} \otimes z_j'^{\lambda}$  with  $z_j^{\lambda} \in \Lambda_{\lambda, \mathbf{Q}}$ ,  $z_j'^{\lambda} \in \Lambda_{\lambda, \mathbf{Q}}^{\diamond}$ , such that for any  $u \in \dot{\mathbf{U}}_{\mathbf{Z}}$  (resp. any  $u \in \mathbf{U}_{\mathbf{Z}}$ ) we have  $\sum_{\lambda \in X^+} \sum_j z_j'^{\lambda} (u z_j^{\lambda}) \in \mathbf{Z}$ .

Under the identification  $\mathcal{O} = \mathbf{O}_{\mathbf{Q}}$  (resp.  $\mathcal{O} = \mathbf{O}'_{\mathbf{Q}}$ ) induced by  $\alpha$  (resp.  $\alpha'$ ) in 5.7, the subset  $\mathbf{O}_{\mathbf{Z}}$  (resp.  $\mathbf{O}'_{\mathbf{Z}}$ ) corresponds to the subset  $Z$  (resp.  $Z'$ ) of  $\mathcal{O}$ .

Since any element  $(\xi_{\lambda})_{\lambda \in X^+} \in \mathcal{O}$  has only finitely many non-zero components we see, using 5.8(i), that  $Z' \subset Z$  and, using 5.8(ii), that  $Z \subset Z'$ . Thus  $Z = Z'$ . It follows that under the identification  $\mathbf{O}_{\mathbf{Q}} = \mathbf{O}'_{\mathbf{Q}}$ ,  $\mathbf{O}_{\mathbf{Z}}$  corresponds to  $\mathbf{O}'_{\mathbf{Z}}$ . Proposition 5.2 follows.

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